

STANDARDS AND PROTOCOLS

Protocol for the surveillance of healthcare-associated infections and prevention indicators in European intensive care units

HAI-Net ICU protocol, version 2.3

2024

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Abbreviations

AMR APACHE score BAL BSI	Antimicrobial resistance Acute physiology, age, chronic health evaluation score Broncho-alveolar lavage Bloodstream infection
CFU	Colony-forming units
CRI	Catheter-related infection
CVC	Central vascular catheter
EU	European Union
HAI	Healthcare-associated infection
HAI-Net	Healthcare-Associated Infections surveillance Network (at ECDC)
HELICS	Hospitals in Europe Link for Infection Control through Surveillance project
ICU	Intensive care unit
NHSN	National Healthcare Safety Network
PN	Pneumonia
SAPS	Simplified acute physiology score
SSI	Surgical site infection
UTI	Urinary tract infection
WBC	White blood cells

Introduction and objectives

The main objective of this protocol is to ensure standardisation of definitions, data collection and reporting procedures for hospitals participating in the national/regional surveillance of healthcare-associated infection (HAIs) in intensive care units (ICUs) across Europe, to contribute to the EU surveillance of HAIs and to improve the quality of care in ICUs in a multicentre setting. The protocol describes methods for the participating ICUs and the national coordinating centres for the surveillance of HAIs.

The Council Recommendation of 9 June 2009 on patient safety (2009/C 151/01) including the prevention and control of HAIs, recommends 'performing the surveillance of the incidence of targeted infection types', 'using surveillance methods and indicators as recommended by ECDC and case definitions as agreed upon at the Community level in accordance with the provisions of Decision No 2119/98/EC' [1-3].

In 2000–2002, harmonised methods for the surveillance of two targeted infection types, surgical site infections (SSI) and HAIs in ICUs, were developed by the network HELICS (Hospitals in Europe Link for Infection Control through Surveillance), funded by the European Commission's Directorate-General for Health and Consumers. These methods were progressively implemented in Member States by HELICS and later as part of the Improving Patient Safety in Europe (IPSE) project.

Surveillance of HAIs in ICUs was previously chosen as a component for European surveillance based on the existence of such networks in several EU Member States, on the fact that patients admitted to intensive care are at 5 to 10 times higher risk of acquiring a HAI due to both intrinsic (e.g. immunosuppression) and extrinsic (e.g. mechanical ventilation) risk factors, and because the ICU is often the epicentre of emerging problems of HAIs and antimicrobial resistance in the hospital.

In July 2008, the coordination of the European surveillance of HAIs was transferred to ECDC in accordance with ECDC's mandate. ECDC continued HAI surveillance as was done by HELICS in 2008 and 2009. Minor changes to the HELICS-ICU protocol were agreed with Member State experts in 2010 and led to the release of the first ECDC HAI-Net ICU protocol (Version 1.01) in December 2010 (later published as version 1.02 [4]).

In 2013, the European Commission asked ECDC to collect additional data on structure and process indicators for HAIs as well as data on mortality from HAIs, based on the ECDC PPS results and in accordance with the Council recommendation 2009/C 151/01 of 9 June 2009 on patient safety, including the prevention and control of HAIs. From October 2013 to February 2015, structure and process indicators for the prevention of HAIs and antimicrobial resistance in ICUs were developed by ECDC and HAI-Net ICU experts and agreed upon during the HAI-Net ICU network meeting in February 2015 (see Annex 7).

The current version 2.3 of the HAI-Net ICU protocol describes the methods for the surveillance of HAIs and modified prevention indicators in intensive care units as agreed in February 2024. Changes compared to protocol version 2.2 are described in Section 1. All ICUs can participate to the surveillance. To do so, please contact the national HAI surveillance coordinating centre in your country or ECDC HAI-Net at <u>HAI-Net@ecdc.europa.eu</u>. A free software with the HAI-Net ICU (HelicsWin.Net) is available on the ECDC website [5].

Specific objectives for participating ICUs and national coordinating centres for HAI surveillance are outlined below.

Specific objectives at the intensive care unit and hospital level

- to monitor the size of the HAI problem in a unit and identify the areas where prevention activities are needed;
- to compare the results of the unit with previous results, and between units, and to compare groups of
 patients stratified for infection risk, to be able to identify areas where the quality of care can be improved;
- to sensitise personnel to infection problems (microorganisms, antibiotic resistance, etc.) and set local targets for prevention;
- to promote prevention of HAIs and antimicrobial resistance in European ICUs;
- to compare and follow-up the implementation of key preventive measures;
- to provide relevant information to monitor and target infection control policies, to measure compliance with
 existing guidelines and good practice, to correct or improve specific practices or to develop, implement and
 evaluate new practices.

Participation in the European network will also produce gains at the local level from international comparisons that may provide insights that would not be revealed by regional or national-level surveillance.

Specific objectives at the regional or national network coordination level

- to provide the necessary reference data to make comparisons of risk-adjusted rates between units/hospitals;
- to follow-up epidemiological trends in time;
- identification of important healthcare-associated pathogens;
- epidemiology of emerging infections, antimicrobial resistance;
- to identify and follow-up risk factors of HAIs;
- to promote HAI/AMR prevention through surveillance;
- to compare and follow-up the implementation of key preventive measures between ICUs and between EU/EEA countries;
- to improve the quality of data collection.

Specific objectives at the European level are:

- to promote prevention of HAIs and antimicrobial resistance in European ICUs by providing European reference data for adjusted SSI rates and compliance with key preventive measures;
- to monitor the burden of HAIs and antimicrobial resistance in European ICUs, in terms of incidence and attributable mortality;
- to monitor and describe the epidemiology of HAIs in European ICUs;
 - to identify emerging healthcare-associated pathogens in the ICU;
 - to follow-up the incidence and the geographical spread of HAIs by type and pathogen in the ICU;
 to identify regions or countries in higher need of EU support regarding surveillance and control of
 - HAIs; – to ensure communication of relevant data on HAIs to the European Commission as a complement to data transmissions by national health authorities;
- to facilitate the communication and exchange of experience between national/regional networks for the surveillance of HAIs;
- to stimulate the creation of national/regional coordination centres for the surveillance of HAIs in the ICU where these centres/networks do not exist;
- to provide methodological and technical support to the national/regional HAI surveillance coordination centres;
- to improve surveillance methodology, data validation and utilisation.

1. Summary of main changes in the HAI-Net ICU protocol v2.3

The main changes compared to the previous protocol (HAI-Net ICU protocol v2.2) can be summarised as follows:

Case definitions

- Addition of 'increased need for positive end-expiratory pressure [PEEP]' in the examples of worsening gas exchange in the case definition of pneumonia;
- Update of 'alternative microbiology methods (PN3)' in the case definition of pneumonia to address changes in diagnostics;
- Update of the case definition of 'microbiologically confirmed CVC-related bloodstream infection' (CRI3-CVC) to clarify criteria applying to cases in which the CVC was removed and in cases in which the CVC was retained.

Structure and process indicators

- Removal of the indicator 'oral decontamination using oral antiseptics at least twice a day;'
- Renaming of the indicator 'position of the patient not supine' to 'elevated head position' to distinguish from prone position.

Infection data

- Addition of variable 'vasopressor treatment;'
- Addition of WHOCAT as an option for reporting the patient ICU outcome (relationship to HAI).

Microorganism and antimicrobial resistance data

- Removal of amoxicillin-clavulanic acid, and addition of ceftazidime-avibactam in the recommended antimicrobials for reporting AST results for Enterobacterales;
- Modification of the definitions of antimicrobial susceptibility testing categories 'S: susceptible' and 'I: intermediate' to 'S: susceptible, standard dosing regimen' and 'I: increased exposure', in line with the EUCAST 2019 changeⁱ.

Annex 1. Microorganisms code list

- Addition of the code KLEAER for Klebsiella aerogenes;
- Addition of the code VIRCOV for SARS-CoV-2.

Annex 2. Extended antimicrobial resistance data for ICU-acquired infections

• Addition of codes for novel antimicrobials for ceftazidime-avibactam, ceftolozane-tazobactam, eravacycline, cefiderocol, imipenem-relebactam, meropenem-vaborbactam, plazomicin and temocillin.

Annex 4. Antimicrobial ATC codes

• Addition of the ATC codes for cefiderocol; eravacycline; imipenem, cilastatin and relebactam; meropenem and vaborbactam; and plazomicin.

Annex 7. Structure and process prevention indicators: definition, rationale and references

- Removal of the indicator 'Intubation: oral decontamination' due to the evidence from meta-analyses of randomised controlled trials indicating that it may increase mortalityⁱⁱ;
- Renaming of the indicator 'Intubation: Patient position' to 'Intubation: Elevated head position'. In the definition, the phrase 'the patient's position was not supine' was replaced by 'the head of the bed was in an elevated position (semi-recumbent).'

https://www.eucast.org/

ⁱⁱ Klompas, M, Li, L, Kleinman, K, Szumita, PM, Massaro, AF. Associations between ventilator bundle components and outcomes. JAMA Intern Med 2016;176:1277–1283.

2. Patient-based (standard option) versus unit-based (light option) surveillance of ICUacquired infections

Since 2001–2002, the protocol for the surveillance of ICU-acquired infections includes two options, a patient-based and a unit-based option. The patient-based surveillance option, also referred to as the 'standard' option, allows advanced risk adjustment of HAI rates for inter-hospital comparisons. The unit-based, or 'light' option, provides a less labour-intensive solution, producing partially the same indicators as the patient-based option for follow-up of trends as well as the same descriptive results about infections and antimicrobial resistance, but with less possibility for risk-adjusted comparisons.

Case definitions and included patients are the same for both options, but in the patient-based option, risk factors are collected for each patient (infected or not) whereas in the light option, denominator data are aggregated at the unit (ICU) level. Infection data, including antimicrobial resistance data and mortality review data and structure and process indicators (collected at the unit level) are also identical in both options.

	Patient-based (Standard option)	Unit-based (Light option)
	Hospital characteristics	Hospital characteristics
Hospital/unit data	ICU characteristics	ICU characteristics
(minimum for pilot testing)	Aggregated denominator data (optional)	Aggregated denominator data (required)
	Structure and process indicators	Structure and process indicators
	(Form HU)	(Form HU)
Patient data	 For all patients staying > two days: Risk factors on admission Exposure to invasive devices Antimicrobial use data (optional) (Form PT) 	For HAI cases only: demographic data (no separate form, integrated in infection data, form INFb)
Infection data	Case-based HAI and AMR data Relationship death to HAI (optional) (Form INFa)	Case-based HAI and AMR data Relationship death to HAI (optional) (Form INFb)

3. Case definitions of ICU-acquired infections

The minimal requirement for HAI-Net surveillance of ICU-acquired infections is to include bloodstream infection (BSI) and/or pneumonia (PN). It is strongly recommended to include both BSI and PN. Urinary tract infections and catheter-related infections may be added optionally.

3.1 Definition of key terms

3.1.1 ICU-acquired

An infection is considered as ICU-acquired, i.e. healthcare-associated in the ICU, if it occurs in the ICU after more than 48 hours. In practice, all infections with onset from day 3 onwards in the ICU should be reported. The day of admission to the ICU is counted as day 1.

3.1.2 Second infection episode

To consider an infection as a new infection episode, a combination of the following are required: a) new signs and symptoms after a substantial improvement from the initial episode, b) radiographic evidence (for pneumonia) or other diagnostic testing, and c) onset of the new episode more than 14 days from the onset of the previous infection for BSI and of more than seven days for PN and UTI.

3.1.3 Device-associated HAI

A device-associated, healthcare-associated infection is an HAI in a patient with a (relevant) device that was used within the 48-hour period before onset of infection (even if it was used only intermittently) [6]. The term 'device-associated' is only used for pneumonia, bloodstream infections, and urinary tract infections. 'Relevant device' refers to intubation, a central vascular catheter or an indwelling urinary catheter. If the interval is longer than 48 hours, there must be compelling evidence that the infection was associated with device use. For catheter-associated UTI, an indwelling urinary catheter must have been in place within seven days before positive laboratory results or signs and symptoms meeting the criteria for UTI were evident.

Example: Pneumonia is defined as intubation-associated pneumonia (IAP) if an invasive respiratory device was present (even intermittently) in the 48 hours preceding the onset of infection.

3.2 Bloodstream infection

3.2.1 Case definition

- Patient has at least one positive blood culture for a recognised pathogen;
- or –
- Patient has at least one of the following signs or symptoms: fever (> 38 °C), chills, or hypotension;
- and
- two positive blood cultures for a common skin contaminant (from two separate blood samples, usually within 48 hours).

Skin contaminants = coagulase-negative staphylococci, *Micrococcus* spp., *Propionibacterium acnes, Bacillus* spp., *Corynebacterium* spp.

3.2.2 Origin of bloodstream infection

Both primary (bloodstream infection of unknown origin or catheter-related) and secondary BSI (secondary to another infection site) should be reported. The origin of the BSI should be reported in a different variable:

- Catheter-related: the same microorganism was cultured from the catheter or symptoms improve within 48 hours after removal of the catheter.
 - C-CVC: central venous catheter;
 - C-PVC: peripheral venous catheter;
 - C-ART: arterial catheter.

Note: if microbiologically confirmed, report BSI with origin C-CVC as a CRI3-CVC (see CRI3 definition); if catheter-related infections (CRI) are not included in the surveillance, or if catheter tip culture was not done (only clinical evidence), then report as BSI with origin C-CVC.

- Secondary to another infection: the same microorganism was isolated from another infection site or strong clinical evidence exists that bloodstream infection was secondary to another infection site, invasive diagnostic procedure or foreign body.
 - Pulmonary (S-PUL);
 - Urinary tract infection (S-UTI);
 - Digestive tract infection (S-DIG);
 - Surgical site infection (S-SSI);
 - Skin and soft tissue (S-SST);
 - Other (S-OTH): e.g. central nervous system infection, bone infection (e.g. osteomyelitis, etc.), invasive diagnostic procedure, foreign body.
- Unknown (UO): BSI of unknown origin (origin was verified but no source could be found for the BSI);
- Missing, data unavailable (UNK): only use this code if data on the BSI origin is missing.

Note: 'Primary' bloodstream infections include catheter-related BSI and BSI of unknown origin.

A central line-associated bloodstream infection (CLABSI) according to CDC/NHSN definitions (different from CVC-related BSI) is a primary BSI with central vascular catheter use (even intermittent) in the 48 hours preceding the onset of the infection. Therefore, the presence of 'the relevant device' in the 48 hours before onset of infection is collected even in the absence of microbiological confirmation.

3.3 Pneumonia (PN 1–PN 5)

X-ray

Two or more serial chest X-rays or CT-scans with a suggestive image of pneumonia for patients with underlying cardiac or pulmonary disease* (in patients without underlying cardiac or pulmonary disease, one definitive chest X-ray or CT-scan is sufficient).

Symptoms

and at least one of the following:

- fever > 38 °C with no other cause;
- leukopenia (< 4 000 WBC/mm3) or leucocytosis (≥ 12 000 WBC/mm3).

and at least one of the following (or at least two, if clinical pneumonia only = PN 4 and PN 5):

- new onset of purulent sputum, or change in character of sputum (colour, odour, quantity, consistency);
- cough or dyspnea or tachypnea;
- suggestive auscultation (rales or bronchial breath sounds), rhonchi, wheezing;
- worsening gas exchange (e.g. O₂ desaturation or increased oxygen requirements or increased ventilation demand, or increased need for positive end-expiratory pressure [PEEP]).

and

according to the used diagnostic method:

Microbiology

a) Bacteriologic diagnostic performed by:

Positive quantitative culture from minimally contaminated LRT specimen (PN 1)

- Broncho-alveolar lavage (BAL) with a threshold of ≥ 10⁴ colony forming units (CFU)/ml or ≥ 5% of BALobtained cells contain intracellular bacteria on direct microscopic exam (classified on the diagnostic category BAL);
- protected brush (PB Wimberley) with a threshold of $\geq 10^3$ CFU/ml;
- distal protected aspirate (DPA) with a threshold of $\geq 10^3$ CFU/ml.

Positive quantitative culture from possibly contaminated LRT specimen (PN 2)

• Quantitative culture of LRT specimen (e.g. endotracheal aspirate) with a threshold of 10⁶ CFU/ml.

b) Alternative microbiology methods (PN 3)

- Positive blood culture not related to another source of infection;
- positive growth in culture of pleural fluid;
- pleural or pulmonary abscess with positive needle aspiration;
- histologic pulmonary exam shows evidence of pneumonia;

- positive examination for viral, bacterial (including atypical bacteria and mycobacteria) or fungal lung infection (e.g. influenza virus, *Legionella*, mycoplasma, *Aspergillus*, *Pneumocystis jirovecii* [previously *P. carinii*]):
 - positive detection of microbial antigen or genetic material in respiratory secretions, pleural fluid or tissue, e.g. NAAT (including RT-PCR and multiplex PCR), RADT, EIA;
 - positive direct microscopic examination or positive culture (including shell vial assay) from bronchial secretions or tissue;
 - seroconversion (example: Legionella, Chlamydia);
 - detection of antigens in urine (*Legionella*).

c) Others

- Positive sputum culture or non-quantitative LRT specimen culture (PN 4);
- No positive microbiology (PN 5).

Notes:

PN 1 and PN 2 criteria were validated without previous antimicrobial therapy. However, this does not exclude the diagnosis of PN 1 or PN 2 in the case of previous antimicrobial use.

*In case recent chest X-rays are available for patients with underlying cardiac or pulmonary disease, one definitive chest X-ray or CT-scan during the current ICU stay may be sufficient.

EIA: enzyme immunoassay; LRT: lower respiratory tract; NAAT: nucleic acid amplification test; RADT: rapid antigen detection test; PCR: polymerase chain reaction; RT-PCR: real-time polymerase chain reaction.

Comment

The five subcategories of the definition of pneumonia allow for the comparison of similar types of pneumonia within and between networks. For scientific literature regarding the diagnostic categories, see references [7,8]. It is essential that all ICUs and networks also report PN 4 and PN 5 (clinical pneumonia without microbiological evidence) to achieve overall comparability, even if microbiological exams yielded negative results (PN 5). It is also advised, both for clinical and surveillance purposes, that networks promote microbiological confirmation (PN 1–3) as a routine practice in ICUs.

3.4 Urinary tract infection

3.4.1 UTI-A: microbiologically-confirmed symptomatic urinary tract infection

• Patient has at least one of the following symptoms with no other recognised cause: fever (> 38 °C), urgency, frequency, dysuria, or suprapubic tenderness.

and

• Patient has a positive urine culture, i.e. ≥ 10⁵ microorganisms per ml of urine with no more than two species of microorganisms.

3.4.2 UTI-B: not microbiologically confirmed symptomatic urinary tract infection

• Patient has at least two of the following, with no other recognised cause: fever (> 38 °C), urgency, frequency, dysuria, or suprapubic tenderness;

and_at least one of the following:

- positive dipstick for leukocyte esterase and/or nitrate;
- pyuria urine specimen with \geq 10 WBC/ml or \geq 3 WBC/high-power field of unspun urine;
- organisms seen on Gram stain of unspun urine;
- at least two urine cultures with repeated isolation of the same uropathogen (Gram-negative bacteria or *S. saprophyticus*) with $\geq 10^2$ colonies/ml urine in non-voided specimens;
- $\leq 10^5$ colonies/ml of a single uropathogen (Gram-negative bacteria or *S. saprophyticus*) in a patient being treated with effective antimicrobial agent for a urinary infection;
- physician diagnosis of a urinary tract infection;
- physician institutes appropriate therapy for a urinary infection.

Note: UTI-C (asymptomatic bacteriuria) is now excluded from the surveillance of ICU-acquired infections. However, bloodstream infections secondary to asymptomatic bacteriuria are reported as BSI with source (origin) S-UTI.

3.5 Catheter-related infection (CRI)

3.5.1 CRI1-CVC: local CVC-related infection (no positive blood culture)

• Quantitative CVC culture ≥ 10³ CFU/ml [9] or semi-quantitative CVC culture > 15 CFU [10] ;

and

• pus/inflammation at the insertion site or tunnel.

3.5.2 CRI2-CVC: general CVC-related infection (no positive blood culture)

• Quantitative CVC culture $\geq 10^3$ CFU/ml or semi-quantitative CVC culture > 15 CFU ;

and

• clinical signs improve within 48 hours after catheter removal.

3.5.3 CRI3-CVC: microbiologically confirmed CVC-related bloodstream infection

- BSI occurring 48 hours before or after catheter removal and
- positive culture with the same microorganism of quantitative CVC culture ≥103 CFU/ml or semi-quantitative CVC culture >15 CFU (1, 2); or
- BSI occurring with or without catheter removal, and at least one of:
 - quantitative blood culture ratio CVC blood sample/peripheral blood sample >5 (3);
 - differential delay of positivity of blood cultures (4, 5): CVC blood sample culture positive two hours or more before peripheral blood culture (blood samples drawn at the same time);
 - positive culture with the same microorganism from pus from insertion site.

Notes

The inclusion of CRIs is optional in the HAI-Net ICU protocol, and the inclusion (or not) should be indicated for each ICU; when CRIs are included, all 3 types of CRI-CVC should be reported.

Central vascular catheter colonisation should not be reported.

A CRI3-CVC is also a bloodstream infection with source C-CVC; however, when a CRI3 is reported, the BSI should not be reported separately; microbiologically confirmed catheter-related BSI should be reported as CRI3.

If CRIs are not included in the (national) surveillance protocol, always report CRI3-CVC as BSI with origin C-CVC. Infections related to peripheral vascular catheters (arterial or venous) may be reported as CRI1-PVC, CRI2-PVC and CRI3-PVC if case definitions [14,15] are met, or as BSI with origin C-PVC or C-ART.

3.6 Other healthcare-associated infection types

Other HAI types can optionally be included in HAI-Net ICU surveillance. Case definitions for other HAI types (including neonatal case definitions) are published in the HAI-Net point prevalence survey protocol [14] and in the Commission Implementing Decision laying down case definitions for reporting communicable diseases [15]. The code list for other HAI types is provided in Annex 3.

3.7 Other definitions

3.6.1 Central vascular catheter

A central vascular catheter (or central line) is an intravascular catheter that terminates at, or close to, the heart or in one of the great vessels, which is used for infusion, withdrawal of blood or hemodynamic monitoring [16]. The following are considered great vessels for the purpose of reporting central-line BSI and counting central-line days in the NHSN system: aorta, pulmonary artery, superior vena cava, inferior vena cava, brachiocephalic veins, internal jugular veins, subclavian veins, external iliac veins, common iliac veins, common femoral veins, and in neonates, the umbilical artery/vein.

Notes

Neither the insertion site nor the type of device may be used to determine if a line qualifies as a central line. The device must terminate in one of these vessels or in or near the heart to qualify as a central line. An introducer is considered an intravascular catheter.

Pacemaker wires and other non-lumened devices inserted into central blood vessels or the heart are not considered central lines, because fluids are not infused, pushed, nor withdrawn through such devices.

Infusion

The introduction of a solution through a blood vessel via a catheter lumen. This may include continuous infusions such as nutritional fluids or medications, or it may include intermittent infusions such as flushes or IV antimicrobial administration, or blood, in the case of transfusion or haemodialysis.

Umbilical catheter

A central vascular device inserted through the umbilical artery or vein in a neonate.

Temporary central line A non-tunneled catheter.

Permanent central line

Includes:

tunnelled catheters, including certain dialysis catheters; and implanted catheters (including ports).

3.6.2 Type of hospital

Primary

- Often referred to as 'district hospital' or 'first-level referral';
- Often corresponds to a general hospital without teaching function;
- Few specialities (mainly internal medicine, obstetrics-gynaecology, paediatrics, general surgery or only general practice);
- Limited laboratory services are available for general, but not for specialised pathological analysis.

Secondary

- Often referred to as 'provincial hospital';
- Often corresponds to general hospital with teaching function;
- Highly differentiated hospital by function with five to 10 clinical specialities, such as haematology, oncology, nephrology, ICU;
- Takes some referrals from other (primary) hospitals.

Tertiary

- Often referred to as 'central', 'regional' or 'tertiary-level' hospital;
- Often corresponds to University hospitals;
- Highly specialised staff and technical equipment (ICU, haematology, transplantation, cardio-thoracic surgery, neurosurgery);
- Clinical services are highly differentiated by function;
- Specialised imaging units;
- Provides regional services and regularly takes referrals from other (primary and secondary) hospitals.

Specialised hospital

- Single clinical specialty, possibly with sub-specialties;
- Highly specialised staff and technical equipment;
- Examples: paediatric hospital, infectious diseases hospital.

4. Data collection

4.1 Eligibility criteria for intensive care units

The intensive care units admitted to the surveillance networks must fit the definition established by the European Society of Intensive Care Medicine:

'An ICU is a geographically defined area in the hospital providing care for critically ill patients with specialised personnel and complex equipment. [...]

The ICU is staffed with a specific group of specially trained doctors, nurses and other allied personnel (e.g. physiotherapists, technicians) in appropriate numbers. [...]

The ICU should provide at least facilities for temporary cardiac pacing and invasive haemodynamic monitoring, ventilation supports and pump-controlled administration of infusions. Facilities for blood gas, haemoglobin and electrolyte measurements should be provided in the ICU or in the immediate vicinity. An ICU should function 24 hours a day, seven days a week. There must be at least one doctor immediately available at all times who can deal with all emergencies' [17].

Neonatal and paediatric ICUs can be included in the network, but results should be separately identified in the analysis.

The aim should be to include as many units as possible. Since the range of units that fall within the definition is too wide, clearly defined subgroups should be established to allow meaningful comparisons between the various ICUs. Criteria for defining these subgroups will be developed through a questionnaire to be filled in by all participating ICUs.

4.2 Inclusion of patients

Only patients staying more than two calendar days are included in the surveillance, according to the following algorithm:

Date of discharge from the ICU – Date of admission to the ICU + 1 > 2

Patients who stay less than three days in the ICU are excluded. These patients add many patient- and device-days to the denominator, but are not at risk of developing an infection after two days in the ICU. Infections which appear after discharge from the ICU (post-discharge) are excluded. Post-discharge surveillance is time-consuming, adds little to the performance of the surveillance system and, in practice, is rarely done.

In the light option (unit-based surveillance), patient-days are included in the denominator if patients have been present for more than two days within the time window of the surveillance, even if they were admitted before the beginning of that period.

In the standard option (patient-based surveillance), patients may be included as follows:

- Prospective inclusion: patients are included if the ICU admission date falls within the time window of the surveillance. After the end of the surveillance period, patients still under follow-up are 'censored' (arbitrarily discharged) on the last day of the month following the end of the surveillance period (e.g. 31 July if surveillance runs from 1 January to 30 June) to allow for data encoding and transmission to the national/regional coordination centre. The follow-up of these patients may be completed, and data are sent in for correction, for example at the end of the next surveillance period.
- Retrospective inclusion: patients are included if the ICU discharge date falls within the time window of the surveillance. Censoring is not an issue in this case.

Note: The different inclusion methods result in slightly different denominator data for the same unit during the same surveillance period. In practice, however, these differences are very small. Approximately 2–3% of patients stay longer than 30 days in the ICU, and less than 0.05% stay more than three months. The difference between unit-based and patient-based denominator data, such as patient-days, will decrease as the surveillance period increases.

4.3 Infections under surveillance

All infections with date of onset after day 2 and later in the ICU should be reported and be regarded as ICUacquired infections, even if there are reasons to believe that the infection was acquired in another ward or in the community. Infections occurring before day 3 may be recorded but will not be included in the analysis. It is recommended to include, as a minimum, data on ICU-acquired bloodstream infection and pneumonia. Urinary tract infections and catheter-related infections are optional.

Which HAI types included in the surveillance should be indicated at the ICU surveillance year level. Usually, the inclusion of HAI types is defined by the national/regional surveillance protocol, however some countries may leave the choice to the ICUs. At the request of several Member States, the possibility to report other HAI types (other than BSI, PN, UTI and CRI) has been added to the protocol and the HelicsWin.Net software.

In unit-based (light) surveillance, all ICU-acquired infections occurring (date of onset) within the time window of the surveillance period are included, even if the patient was admitted to the ICU before the start of the surveillance period. In patient-based surveillance, infections may occur outside the time window, since the inclusion criterion is either the ICU admission or discharge date of the patient.

4.4 Methods and data sources

4.4.1 Structure and process indicators

Structure and process indicators should be collected at least once per year for each ICU participating in the surveillance. Data are collected at the unit level (or unit-surveillance period level) in both the standard and the light surveillance options. The data collection for the indicators is estimated to last approximately two weeks, depending on the size of the ICU.

The following priority topics and indicators were selected for the HAI-Net ICU protocol. Methods and data sources differ according to the indicator.

- Hand hygiene: Alcohol hand rub consumption during the previous year in the ICU. The consumption of alcohol-based hand rubs in intensive care units is collected from the hospital pharmacy records for the year prior to the surveillance year.
- **ICU staffing**: registered nurse-to-patient ratio and nursing assistant-to-patient ratio, calculated based on the <u>actual planning for 7 days</u> during the evaluation period.
- **Antimicrobial stewardship**: systematic review of prescribed antimicrobials within 72 hours. The percentage of reviewed antimicrobial therapies within 72 hours is based on a <u>retrospective study</u> of 30 (minimum 20) consecutive antimicrobial prescriptions of more than 3 days (before the evaluation period).
- Prevention of intubation-associated pneumonia (IAP):
 - Endotracheal cuff pressure controlled and/or corrected at least twice a day;
 - The percentage of correct cuff pressure and oral decontamination records is collected by <u>30</u>
 <u>consecutive reviews of the files of intubated patients</u> (each patient is observed once per day, the same patient is observed for several consecutive days) during the evaluation period;
 Elevated head position (direct observation).
- Prevention of central line-associated bloodstream infection (CLABSI): CVC maintenance Catheter site dressing is not damp, loose or visibly soiled (direct observation).
- The position of the patient and the dressing of the central vascular catheter (CVC) are evaluated through 30 direct observations of patients with intubation and/or a CVC in place respectively (each patient is observed once per day, the same patient may be observed for several consecutive days) during the evaluation period.

Year n-1 Surveillance year n Alcohol-based hand rub consumption Review antimicrobial therapy Cuff pressure + Oral decontamination Position of patient + CVC dressing ward data patient file review direct observation

Figure 1. Time frame of data collection for structure and process indicators of HAI/AMR prevention in the ICU

4.4.2. Patient and healthcare-associated infections data

Different data sources should be consulted to determine whether a patient has an infection, such as the patient file (medical and nursing notes), microbiological laboratory and pharmacy databases, X-ray data, ward rounds, clarification of signs and symptoms with the nursing/medical team etc. The need to consult different data sources also depends on whether ICU staff are involved in the data collection as opposed to data collection by the infection prevention and control staff only. For the standard surveillance option, it is recommended that ICU physicians are involved or at least consulted for the collection of patient risk factors on admission. In case automated systems are set up to flag possible infections, it is recommended to confirm with the ICU physician in charge of the patient whether the signs and symptoms of an HAI are met. To determine the relationship of death to an HAI, it is recommended to consult two physicians.

4.5 Data processing

Each country is at liberty to organise its own system for data collection and processing. The standard surveillance option, however, foresees that data should be collected on forms (see examples provided in this protocol) and subsequently be entered into a computer system by hospital staff after data verification. Countries may choose to develop and use their own software system to do this. Alternatively, ECDC supports a free software tool (HelicsWin.Net) for data entry at the hospital level [5]. If HelicsWin.Net is used, data should be exported by the hospitals and transferred to the national coordination centre. Data from different hospitals/ICUs can be appended in HelicsWin.Net. National centres will submit the national database to ECDC using the European Surveillance System (TESSy), or make data available to ECDC via other agreed methods. National centres may also submit data from individual hospital/ICUs one by one to TESSy.

4.6 Levels of data requirement

In TESSy, variables are classified according to three levels of requirement:

- **Required true** (error) (E): Data will be rejected if this variable is missing (also called 'mandatory').
- **Required true** (warning) **(W):** Variables are required for the correct interpretation of the results and/or for routine analysis; a warning will be produced if this variable is missing (also called 'required').
- **Required false (F):** No error if data are missing; data used for additional analysis (also called 'optional').

5. Hospital/unit data (standard and light options)

Hospital and unit (ICU) data are the same for the standard and light surveillance options and use the same form (Form HU). The only difference is that the aggregated ICU denominator data for patients staying more than two days are optional for the standard option, but mandatory for the light option.

In the TESSy database, hospital and unit data are divided into data to be collected once per surveillance year (first level) and data to be collected for each surveillance period (second level).

5.1 Hospital and unit characteristics – Form HU

Hospital and unit characteristics should be collected once per year.

Hospital code (required): hospital identifier/code is assigned by the national/regional CDI surveillance coordinator. Hospital codes should be unique within each surveillance network, and, if possible, kept constant between the ECDC Antimicrobial Resistance and Healthcare-Associated Infections (ARHAI) surveillance protocols and from one year to the next.

Year (required): surveillance year.

Hospital size (required): Total number of beds in the hospital.

Hospital type (required): Type of hospital, definition see section 3.6.2. PRIM = Primary; SEC = Secondary; TERT = Tertiary; SPEC = Specialised; UNK = Unknown hospital type.

ICU Id (required): Unique identifier for each intensive care unit within an hospital, should remain identical in different surveillance periods/years.

ICU size (required): Number of beds in the ICU.

ICU specialty (required): If 80% of the patients belong to a particular category, the ICU falls within that category, otherwise the specialty is 'Mixed'. MIX = Mixed; MED = Medical; SURG = Surgical; CORO = Coronary; BURN = Burns; NEUR = Neurosurgical; PED = Paediatric; NEON = Neonatal; O = Other; UNK = Unknown.

Percentage of intubated patients in the ICU (required): Percentage of intubated patients over the past year in the ICU. Measured or estimated average percentage (not: proportion) of patients with an invasive respiratory device over the last year in the current ICU. Number from 0.00 to 100.00. This variable is used as a proxy for severity of ICU case-mix and should also be collected if pneumonia is not included in the surveillance.

HAI types included in the surveillance: Indicate which of four HAI types are included in the current ICU surveillance year. Included HAI types should remain constant between different surveillance periods within the same surveillance year. The information is stored in five separate yes/no variables for the inclusion of respectively pneumonia, bloodstream infections, urinary tract infections, catheter-related infections and other HAI types.

5.2 ICU denominator data – Form HU

ICU denominator data should be collected for each surveillance period. Aggregated denominator data are optional except for denominator data for patients staying more than two days in the ICU, which are required in the light surveillance option.

Surveillance period (required): start and end date of the ICU surveillance period. The recommended minimal surveillance period is three months, maximum one year.

Number of admissions for patients staying more than two days in the ICU: Number of new admissions of patients staying more than two days in the intensive care unit during the period. The main denominator for the indicator 'cumulative incidence of HAIs', is required for light surveillance; in the standard surveillance option, this variable is optional and allows verifying the exhaustiveness of the entered patient-based data.

Number of patient-days for patients staying more than two days in the ICU: Number of patient-days for patients staying more than two days in the intensive care unit during the period. The main denominator for the indicator 'incidence density of HAIs', is required for light surveillance; in the standard surveillance option, this variable is optional and allows verifying the sum of patient-days reported on patient level.

Number of admissions, all ICU patients: Total number of new admissions in the intensive care unit during the period. Used for burden estimates of HAIs in ICUs, assessing the ICU workload for patients staying one or two days in the ICU and comparing some indicators with ICU surveillance systems that include all ICU patients. Optional, but strongly recommended.

Number of patient-days, all ICU patients: Total number of patient-days in the intensive care unit during the period. Used for burden estimates of HAIs in ICUs, assessing the ICU workload for patients staying one or two days in the ICU, comparing some indicators with ICU surveillance systems that include all ICU patients and cross-checking the plausibility of the denominator of the alcohol hand rub consumption and nurse-to-patient ratio indicators. Optional, but strongly recommended.

5.3 Structure and process indicators – Form HU

Structure and process indicators can be collected once per surveillance period (minimum once per year), except for the alcohol hand rub consumption which is always collected once per year, for the previous year.

The indicators included in the current protocol are the selection proposed for the pilot study of the HAI-Net ICU surveillance protocol v2.0. The main objective of the pilot study is to test the feasibility of these indicators.

Alcohol hand rub consumption during the previous year: Total number of litres of alcohol-based hand rub delivered to the intensive care unit (usually by the hospital pharmacy) during the previous year.

Total number of patient-days during the previous year: Total number of patient-days during the year prior to the current surveillance year (patient-days for all patients, not only for patients staying more than two days in the ICU). Short interruptions are not considered. Partial days count as one patient day. This variable is the denominator of the indicator 'alcohol-based hand rub consumption in the ICU per 1 000 patient-days'.

Total number of registered nurse hours in ICU over seven-day period: Total number of <u>hours</u> of real presence of registered nurses during seven days, including hours of presence during the night (presence of one full-time nurse 24/7=168 hours). Only include registered nurses involved in bedside patient care. Students are not included. A 'registered nurse' is a nurse who has graduated from a college's nursing programme or from a school of nursing and has passed a national licensing exam to obtain a nursing license. Also include 'agency nurses', 'bank nurses', 'interim nurses' or other registered nurses who are not permanently employed for that position in the hospital.

Total number of nursing assistant hours in ICU over seven-day period: Total number of <u>hours</u> of real presence of nursing assistants during seven days, including hours of presence during the night (presence of one full-time nursing assistant 24/7=168 hours). Only include nursing assistants involved in bedside patient care. Students are not included. A 'nursing assistant' is also referred to as 'nurses' aide', 'healthcare assistant', 'nursing auxiliary', 'auxiliary nurse', 'patient care assistant' or similar terms. Also include nursing assistants who are not permanently employed for that position in the hospital.

Total number of patient-days over the same seven-day period: Total number of patient-days (all patients) over the same seven days used for the number of (registered/assistant) nurse hours. Short interruptions are not considered. Partial days count as one patient day.

Practice evaluation period: Start date and end date of the period during which HAI prevention and antimicrobial stewardship practices are evaluated.

For all indicators assessed by chart review or by direct observations during the practice evaluation period:

N of files/observations (# observations) = Denominator

N of compliant observations (# compliant) = Numerator.

Antimicrobial stewardship: Review antimicrobial therapy within 72 hours (chart review): Verify, for 30 (minimum 20) consecutive patients with antimicrobial therapy whether the therapy was evaluated within 72 hours after the start of the antimicrobial and has been documented in the patient file. Only consider the first empiric or documented antimicrobial therapies that were started in the current ICU. Only systemic antimicrobial therapy (IV, IM, SC, oral) started at least 72 hours before the assessment is eligible for evaluation. Number of observations (denominator) = Total number of audited antimicrobial therapies started more than three days ago; Number compliant (numerator) = Number of antimicrobial therapies started more than three days ago and assessed within 72 hours after starting the antimicrobial.

Intubation: Endotracheal cuff pressure controlled and/or corrected at least twice a day (chart review): Numerator=Number of intubation days (days of patients with intubation) during which the endotracheal cuff pressure was verified and maintained between 20 and 30 cm H₂O (and documented in the patient file) at least twice per day; Denominator=Total number of observed intubation days. Source: medical or nurse patient file, prospective review of 30 patient-days with intubation. One patient with intubation is included only once a day, but the same patient can be included for several consecutive days.

Intubation: Elevated head position (direct observation): Numerator=Number of days of patients with intubation during which the head of the bed was in an elevated position (semi-recumbent); Denominator=Total number of observed intubation days. Source: Direct observation of the position of the patient with intubation (in bed), up to 30 patient observations. One patient with intubation is included only once a day, but the same patient can be included for several consecutive days. Observations should as much as possible be performed at the same time during the day (e.g. at 16:00 in the afternoon). Patients in strict supine (dorsal decubitus) position due to specific indications (e.g. certain trauma patients) should be excluded.

CVC: Catheter site dressing is not damp, loose or visibly soiled (direct observation): Numerator = Number of days of patients with a central vascular catheter during which the dressing of the CVC was not loose, damp or visibly soiled; Denominator = Total number of observed CVC days. Source: Direct observation of 30 patients with at least one CVC in place, up to 30 patient observations. One patient with one or several CVCs is included only once a day, but the same patient can be included for several consecutive days. Observations should as much as possible be performed at the same time during the day (e.g. at 16:00 in the afternoon). For patients with several CVCs in place, all CVC dressings should not be loose, damp or visibly soiled.

	European Surveillance of ICU-acquired infections Form HU. Hospital / ICU data (Standard & light option)								
Hospital data									
Hospital Code	Year:		Hospital si (n of beds)	ze					
Hospital Type:	O primary O seconda	ary O tertiary	O specialised						
ICU characteristics									
ICU Id	Unique ider	ntifier for each inte	ensive care unit wi	thin an hospital					
ICU size	Number of	beds in the ICU							
ICU specialty	O Mixed O Medical O O Pediatric O Neonat			Burns O Neuro	surgical				
Percentage of intuba	ted patients in year (tr	rue or estimated %	6):		%				
	n surveillance: O Pne ctions (UTI) O Catheter								
ICU indicators and d	enominators								
Surveillance Period		All Pa	tients	Patients sta	aying >2 days				
		N of	N of patient-	N of	N of patient-				
Start date	End date	admissions	days	admissions	days				
Recommended minim	al surveillance period =	3 months, ma	ximum 1 year;	add one form f	or each period				
STRUCTURE AND PR	ROCESS INDICATORS	6							
Alcohol hand rub co	nsumption during the	previous vea	r		litres				
	ent-days during the prev				patient-days				
ICU staffing ratio	stered nurse hours in IC	Lover 7 day	period		nurse hours				
-									
	ing assistant hours in I	-	period		nurse hours				
Total number of patie	ent-days over the same	7 day period			patient-days				
Practice evaluation:	Start date _/_/_		End date	_1_1					
				N of files / observations	N of compliant observations				
	dship: Review antimi	crobial therap	y within 72						
hours (chart review) Intubation: Endotrac	heal cuff pressure cor	ntrolled and/or	corrected at						
least twice a day (char									
Intubation: Elevated	head position (direct of								
CVC: Catheter site de observation)	ressing is not damp, lo	ose or visibly s	soiled (direct						

6. Patient-based data (standard option) – Form PT

Patient-based data should be collected in the standard surveillance option, for each patient admitted to the ICU during the surveillance period AND staying more than two days in the ICU.

6.1. ICU admission and discharge data (second level)

Patient counter: Numeric Code for each patient, unique within the hospital, anonymous. In the HelicsWin.Net software, the patient counter is automatically generated and a second field, which is not exported by default, allows entering an internal patient code. Required.

Age: Age of the patient on the date of admission to the ICU (in years). Required.

Gender: Gender of the patient. M = Male; F = Female; O = Other; UNK = Unknown. Required.

Date of ICU admission: Date of admission in the ICU. Required.

Date of ICU discharge: Date the patient was discharged from the ICU or date of in-ICU death or date of last follow-up in the ICU. Required.

ICU discharge outcome: Patient status at discharge from the ICU or at end of follow-up in the ICU. A = Alive; D = Dead in ICU; UNK = Unknown. Required.

Origin of the patient: Origin of the patient at the time he/she was admitted at the ICUHOSP = Ward in this/other hospital. OICU = Other ICU; COM = Community (patient came from his home, via emergency or not); LTC = Long-term care/nursing home; O = Other; UNK = Unknown.

Type of ICU admission: Type of admission as defined in SAPS II score: (medical: no surgery within one week of admission to ICU; scheduled surgical: surgery was scheduled at least 24 hours in advance +/- 7 days ICU admission; unscheduled surgical: patients added to the operating room schedule within 24 hours of the operation. MED = Medical; SSUR = Scheduled surgical; USUR = Unscheduled surgical; UNK = Unknown.

Trauma patient: Intensive care unit admission resulted from blunt or penetrating traumatic injury to the patient, with or without surgical intervention. Y = Yes; N = NO; UNK = Unknown

Impaired immunity: Impaired immunity as defined in APACHE II score: impaired immunity due to treatment (chemotherapy, radiotherapy, immune suppression, corticosteroids long duration or high doses recently), due to disease (leukaemia, lymphoma, AIDS), or < 500 PMN/mm3. Y = Yes; N = NO; UNK = Unknown.

Antibiotic treatment in 48 hours before or after ICU admission: Specify 'yes' if any antibiotic therapy in the 48 hours preceding ICU admission and/or during the first two days of ICU stay (=antibiotic therapy for an infectious event around ICU admission, excl. antifungal and antiviral treatment) has been given; not: antimicrobial prophylaxis, SDD, local treatment. Y = Yes; N = NO; UNK = Unknown

SAPS II score: Simplified Acute Physiology Score II on admission (first 24h of ICU stay). Severity of illness score developed to predict mortality. Integer number from 0 to 163.

Other severity score name and value: Add another severity of illness score and the corresponding value. Possible scores [and possible values]: APACHE = Acute Physiology and Chronic Health Evaluation score (APACHE II [0-71], APACHE III [0-299], APACHE IV [0-286]), MPM = Mortality Prediction Model (MPM II [0-100], MPM III [0-100]), McCabe score [0=non-fatal (survival >= 5 years); 1=ultimately fatal (survival < 5 years), 2=rapidly fatal (survival<1 year); 9=unknown], SAPS 3 [0-217]; ASA = Physical Status Classification System of the American Society of Anesthesiology [1=normally healthy patient, 2=patient with mild systemic disease, 3=patient with severe systemic disease that is not incapacitating, 4=patient with an incapacitating systemic disease that is a constant threat to life, 5=moribund patient who is not expected to survive for 24 hours with or without operation]; Paediatric scores: PIM = Paediatric Index of Mortality (PIM [0-100], PIM II [0-100]); PRISM = Paediatric Risk of Mortality score (PRISM [0-75], PRISM III, PRISM IV); Neonatal score: CRIB = Clinical Risk Index for Babies (CRIB [0-23], CRIB II [0-27]), SNAP = Score for Neonatal Acute Physiology [0-127]; PDEATH = Predicted mortality probability derived from any score [0-100].

Optional variables for neonates (infants less than one month old):

- **Birth weight:** birth weight in grams; the birth weight is the weight of the infant at the time of birth and should not be changed as the infant gains or loses weight.
- Gestational age: gestational age in weeks (at time of birth)

Central vascular catheter in ICU: Patient had a central vascular catheter during the current ICU stay; if yes, fill dates in corresponding exposure data. Y = Yes; N = NO; UNK = Unknown. Required.

Intubation in ICU: Patient was intubated (invasive respiratory device) during the current ICU stay; if yes, fill dates in corresponding exposure data. Y = Yes; N = NO; UNK = Unknown. Required.

Urinary catheter in ICU: Patient had indwelling urinary catheter during the current ICU stay; if yes, fill dates in corresponding exposure data. Y = Yes; N = NO; UNK = Unknown. Required if UTI is included in surveillance.

Antimicrobial received during ICU stay: Patient received any antimicrobial during ICU stay. If yes, fill corresponding antimicrobial use data. Y = Yes; N = NO; UNK = Unknown. Optional.

Patient has at least one HAI included in surveillance: Patient has at least one healthcare-associated infection (with onset on day three or later, see definition) included in the current surveillance-year. If yes, fill out an HAI form for each infection. Y = Yes; N = NO; UNK = Unknown. Required.

6.2 Exposure data (third level)

In the standard surveillance option, different types of data are attached to the patient (ICU admission) level. Exposure data (RecordType HAIICU\$PT\$EXP) contain information on invasive device use and are collected by episode and by type of invasive device.

ParentId/Patient counter: Numeric Code for each patient, unique within hospital, anonymous. Necessary to link infections and patient data (second level). In the HelicsWin.Net software, the patient counter is automatically generated and should not be entered again for the exposure data. Required.

Type of exposure: Type of exposure (invasive device) for this exposure episode entry. In case of stop and restart of an exposure type on the same day (e.g. re-intubation), start a new exposure episode. Overlapping exposure episodes are allowed for CVC (more than one CVC on the same day), but not for intubation or indwelling urinary catheters. Urinary catheter episodes are only required when UTIs are included in the surveillance year. In HelicsWin.Net, the type of exposure is automatically generated. CVC = Central vascular catheter; INT = Intubation; UC = Urinary catheter.

Exposure start date: Start date exposure episode within the ICU.

Exposure end date: End date exposure episode within the ICU.

6.3 Antimicrobial use data (third level)

Patient-based data on antimicrobial use in the ICU (RecordType HAIICU\$PT\$AM) are optional in the HAI-Net ICU protocol and can only be collected in the standard surveillance option. They are collected by episode and for each antimicrobial agent and indication.

ParentId/Patient counter: Numeric Code for each patient, unique within the hospital, anonymous. Necessary to link infections and patient data (second level). In the HelicsWin.Net software, the patient counter is automatically generated and should not be entered again. Required.

Antimicrobial start date: Start date within the ICU of this antimicrobial agent/indication (days before ICU admission should not be reported). For antimicrobials present on admission, enter date of ICU admission.

Antimicrobial end date: End date within the ICU of this antimicrobial agent/indication (days after ICU discharge should not be reported). For antimicrobials continued after discharge, enter date of ICU discharge.

Antimicrobial ATC5 code: Antimicrobial coded as ATC5 code, include ATC2 classes J01 antibacterials, J02 antifungals and ATC4 A07AA, P01AB, D01BA and ATC5 J04AB02. See ATC5 list in Annex.

Indication for antimicrobial use: Indication for use of this antimicrobial episode. Mandatory if antimicrobial use data are reported. If the indication changes (e.g. from empiric treatment to documented treatment), enter a new line, even if the antimicrobial has not changed. If the same antimicrobial (ATC5 code) is used for different indications, enter a line for each indication. P=Prophylaxis; E=Empiric treatment (not based on microbiological results); M=Documented treatment (based on microbiological results with or without antimicrobial susceptibility results); S=Selective digestive decontamination; O=Other; UNK=Unknown.

Indication specification: optional specification of indication for antimicrobial use according to HAI-Net PPS categories. Patient receives systemic antimicrobials for:

- Treatment intention: CI: community-acquired infection; LI: infection acquired in long-term care facility (e.g. nursing home) or chronic-care hospital; HI: acute-hospital-acquired infection.
- Surgical prophylaxis: SP1: single dose; SP2: one day; SP3: > 1 day: check if given in the 24 hours prior to ICU admission if yes, check if given on the day before as well.
- MP. Medical prophylaxis.
- O. Other indication (e.g. erythromycin use as a prokinetic agent).
- UI. Unknown indication/reason (verified during PPS).
- UNK. Unknown/missing, information on indication was not verified during PPS.

Diagnosis site: anatomical site of treated infection (diagnosis) or target infection site for prophylaxis: see site code list. Optional.

				of ICU-acquire d data (Stand						
Hospital code			Patient Counter							
ICU code (abbr name)]								
Patient data										
Age in years: yrs	Gender: M F	UNK	Date of ICU ad	mission:	//					
Date of ICU discharge	e / /		ICU discharge	outcome: O Al	ive O Dead O	UNK				
Origin of the patient	Origin of the patient O Ward this/oth hosp O Other ICU O Community O LTCF O Other O UNK									
Type of admission: O medical O scheduled surgical O unscheduled surgical O UNK										
Trauma: O Yes O No	O UNK		Impaired immu	unity: OYes O	No O UNK					
Antimicrobial treatme	Antimicrobial treatment +/- 48 Hrs around admission: O Yes O No O UNK									
SAPS II score:]	Other severity	score name*:						
			Other severity	score value:						
* Other severity scores: AP, CRIB, CRIB II, SNAP, PDEA				e score, PIM, PIM I	I, PRISM, PRISM III-I	IV,				
Neonates (ioptional) :	Birth weight:		grams	Gestational ag	e: weeks					
Exposure to invasive de	vices in the ICU									
Exposure to invasive de Central vascular cath		es O No	O Unk							
Central vascular cath	eter in ICU: O Ye : Start Date 1 :	//			//					
Central vascular cath	eter in ICU: O Ye Start Date 1 : Start Date 2 :	/ / _ / / _			// //					
Central vascular cather If Yes: Intubation in ICU: 0	eter in ICU: O Ye Start Date 1 : Start Date 2 :	// //_		End Date 2:						
Central vascular cathe If Yes: Intubation in ICU: O Y If Yes:	eter in ICU: O Ye Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 :	/ / / / _ / / _ / / _		End Date 2: End Date 1:	/ /					
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC	eter in ICU: O Ye Start Date 1 : Start Date 2 : Yes O No O Unk : Start Date 1 : Start Date 2 : Start Date 2 : Start Date 2 : U: O Yes O No	/ / _ / / _ / / _ / / _ O Unk		End Date 2: End Date 1: End Date 2:	/ / / / / /					
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC	eter in ICU: O Ye Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 :	/ / _ / / _ / / _ / / _ O Unk / / _		End Date 2: End Date 1: End Date 2: End Date 1:	/ /					
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC	eter in ICU: O Ye Start Date 1 : Start Date 2 : Yes O No O Unk : Start Date 1 : Start Date 2 : Start Date 2 : Start Date 2 : Start Date 2 : Start Date 1 : Start Date 2 : U: O Yes O No O : Start Date 1 : Start Date 2 : Start Date 2 :	/ / _ / / _ / / _ / / _ O Unk / / _ / / _		End Date 2: End Date 1: End Date 2: End Date 1: End Date 2:	/ / / / / / / /	wn				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes:	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _		End Date 2: End Date 1: End Date 2: End Date 1: End Date 2:	/ / / / / / / /	wn				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _ ICU stay	/ (optional)	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye	/ / / / / / / / s O No O Unkov	wn				
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Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _ ICU stay	/ (optional)	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye	/ / / / / / / / s O No O Unkov	wn				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _ ICU stay	/ (optional)	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye	/ / / / / / / / s O No O Unkov	wn				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _ ICU stay	/ (optional)	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye	/ / / / / / / / s O No O Unkov	wn				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Yet Start Date 1 : Start Date 2 : Yes O No O Unk Start Date 1 : Start Date 2 : U: O Yes O No O u: O Yes O No O Start Date 2 : U: O Yes O No O Start Date 1 : Start Date 2 : Crobial(s) during	/ / _ / / _ / / _ / / _ O Unk / / _ / / _ ICU stay	/ (optional)	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye	/ / / / / / / / s O No O Unkov	NUL				
Central vascular cathe If Yes: Intubation in ICU: O If Yes: Urinary catheter in IC If Yes: Patient received antimi	eter in ICU: O Ye Start Date 1 : Start Date 2 : Yes O No O Unk : Start Date 1 : Start Date 2 : U: O Yes O No O : Start Date 2 : U: O Yes O No O : Start Date 1 : Start Date 1 : Start Date 2 : Crobial(s) during ATC5 D: P: prophylaxis E:	/ / _ / / _ / / _ O Unk / / _ / / _ ICU stay ICU stay Ind1 empiric t	/ (optional) Start date	End Date 2: End Date 1: End Date 2: End Date 1: End Date 2: O Ye End Date	/ / / / / / / / s O No O Unkov Ind2 Site Ind2 Site Site Site Site Site Site Site					

one day, SP3: >1 day; MP: medical prophylaxis; O: other; UI: Unknown indication; **Site**: site of diagnosed infection or target infection site for prophylaxis, optional (see site list in annex).

Patient has at least one HAI included in surveillance

O Yes O No O Unknown

if yes, fill out heathcare-associated infection (HAI) form

7. HAI data (standard and light options) – Form INF

7.1. Infection data (third level)

Healthcare-associated infection (HAI) data (RecordTypes HAIICU\$PT\$INF and HAIICULIGHT\$DENO\$INF) are collected for each infection episode, by type of infection. For distinguishing between different infection episodes, see Section 3.1 (definition of key terms). HAI data are the same in the standard (Forn INFa) and light (Form INFb) surveillance options, except for a few demographic patient variables that are added for each HAI in light surveillance.

ParentId/Patient counter: Anonymous patient number. Necessary to make the link between infections and second level data. In the HelicsWin.Net software, the patient counter is automatically generated and should not be entered again. Required.

Demographic variables (Light surveillance option only):

- Age: Age of the patient on the date of admission to the ICU (in years). Required.
- Gender: Gender of the patient. M = Male; F = Female; O = Other; UNK = Unknown. Required.
- Date of ICU admission: Date of admission in the ICU. Required.
- **Date of ICU discharge**: Date the patient was discharged from the ICU or date of in-ICU death or date of last follow-up in the ICU. Required.

Date of infection onset: Date of onset of symptoms or, if unknown, date treatment was started or date first diagnostic examination was done. Required.

Case definition code (Site of infection): Required. Site of infection according to the case definition (including subcategory), taking into account signs and symptoms of the entire infection episode (not just day one of the HAI). See Chapter 3 for case definitions. BSI = Bloodstream infection; PN = Pneumonia (unknown subcategory); PN1 = Pneumonia (protected sample + quantitative culture); PN2 = Pneumonia (non-protected sample (ETA) + quantitative culture); PN3 = Pneumonia (alternative microbiological criteria); PN4 = Pneumonia (sputum bacteriology or non-quantitative ETA); PN5 = Pneumonia (no microbiology); UTI = Symptomatic urinary tract infection (unknown subcategory); UTI-A = Symptomatic urinary tract infection (microbiologically confirmed); UTI-B = Symptomatic urinary tract infection (not microbiologically confirmed); CRI1-CVC = CVC-related infection (local); CRI2-CVC = CVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (local); CRI2-PVC = PVC-related infection (local); CRI2-PVC = CVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (local); CRI2-PVC = PVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (generalised no positive haemoculture); CRI3-CVC = CVC-related infection (generalised with positive haemoculture)]. If catheter-related infections (CRIs) are included in the surveillance, report a CVC-related BSI corresponding to the case definition of CRI3-CVC as CRI3-CVC (do not report twice); OTH=Other HAI type. Other infection sites can be included in the HelicsWin.Net software.

Other case definition code: Optional. Specify other case definition code, see list in Annex.

Relevant invasive device in situ before onset: Relevant invasive device was present (even intermittently) in the 48 hours preceding the infection (seven days for UTIs): intubation for pneumonia, central vascular catheter for bloodstream infection, urinary catheter for urinary tract infections. Necessary to distinguish device-associated infections. Y = Yes; N = No; UNK = Unknown. Required.

Vasopressor treatment: Vasopressor treatment (e.g. norepinephrine, epinephrine, vasopressin, phenylephrine, dopamine) was initiated for the treatment of the consequences of the HAI (marker of septic shock). Y = Yes; N = No; UNK = Unknown.

BSI: source of **BSI**: Source/origin of the bloodstream infection, required if the case definition code is BSI. C = The same microorganism was cultured from the catheter or symptoms improve within 48 hours after removal of the catheter. Exception: Report microbiologically confirmed CVC-related BSI as CRI3-CVC if optional CRIs are included in the surveillance. C = Catheter, catheter type unknown; C-CVC = Central venous catheter; C-PVC = Peripheral venous catheter; C-ART = Arterial catheter; S = Secondary to another site, primary site unknown; S-PUL = Pulmonary infection; S-UTI = Urinary tract infection; S-SSI = Surgical site infection; S-DIG = Digestive tract infection; S-SST = Skin/Soft Tissue infection; S-OTH = Other infection or procedure; UO= None of the above, BSI of unknown origin; UNK=Unknown/Missing.

Patient ICU outcome (relationship to HAI): the relationship of HAI to ICU outcome in patients with HAI can be assessed with either one of the following two scales [van der Kooi T et al. Eurosurveill 202, 26(23). https://doi.org/10.2807/1560-7917.ES.2021.26.23.2000052]

1. **3-CAT:** (three-category scale)

- **Discharged alive**: patient was discharged alive; OR patient was still in the hospital and alive at the end of follow-up during this hospital stay.
- **Death, HAI definitely contributed to death**: use this category if a causal link between CDI and death can be demonstrated.
- **Death, HAI possibly contributed to death**: use this category if no causal link between CDI and this case's death can be demonstrated, but it is still plausible that CDI was at least a contributory factor.
- **Death, unrelated to HAI**: use this category if the cause of death can be demonstrated not to be related to CDI.
- **Death, relationship to HAI unknown**: use this category if no evidence of contributory factors to the cause of death is available.
- **Unknown**: unknown patient outcome.

2. WHOCAT: a scale based on the WHO death certification methodology:

- Discharged alive: patient was discharged alive; OR patient was still in the hospital and alive at the end of follow up during the hospital stay.
- Death, no contribution of HAI: HAI did not contribute to the death or the contribution was redundant, i.e. the patient would have died anyway;
- Death, HAI contributory cause: HAI was a contributory cause but not related to the disease or condition causing the death;
- Death, HAI part of the causal sequence: HAI was part of the causal sequence of events that led to death but not sufficient on its own;
- Death, HAI sole cause: HAI was the sole cause of death no other disease or condition causing the death was present (sufficient condition).
- Death, relationship to HAI unknown or not verified: Contribution of HAI to death of the patient unknown or not verified
- Unknown: the outcome is unknown

7.2 Microorganism and antimicrobial resistance data (fourth level)

Microorganisms and antimicrobial resistance data (RecordType HAIICU\$PT\$INF\$RES) for a given infection episode are reported at the fourth level. Although the data format allows flexible reporting of any bug-drug combination, the protocol defines a list of minimal and recommended markers (target list) for antimicrobial resistance in ICU-acquired infections. Networks may also choose to report extended antimicrobial resistance data, which allows for a more detailed description of the AMR epidemiology (e.g. combined resistance, etc.). However, the main emphasis should be on the target AMR list given below. See Annex 4 for extended AMR test codes.

Microorganism (Isolate result): Microorganism (MO) six letter code or negative code including reason why the isolate result is not available. _NA = Results not available; _NOEXA = Examination not done; _NONID = Microorganism not identified; _STERI = Sterile examination. See Code list in Annexes 2 and 3. It is recommended to use the extended microorganism list, even though minimal list codes are also allowed. Minimum one code per HAI is required, the recommended maximum per HAI is three microorganisms.

Antibiotic: Antibiotic code. Antimicrobial drug for which susceptibility was tested, depends on the microorganism. In the HelicsWin.Net software, recommended antimicrobial codes are automatically generated. _NOTEST=No antimicrobial susceptibility data available.

Minimal and recommended antimicrobial resistance markers in the ICU

Staphylococcus aureus (STAAUR)

• Oxacillin (OXA) – required (minimal).

Note: following antibiotics are equivalent to oxacillin as markers of MRSA and can also be reported: meticillin (MET), cloxacillin (CLO), dicloxacillin (DIC), flucloxacillin (FLC) and cefoxitin (FOX).

• Glycopeptides (GLY) (vancomycin/VAN, teicoplanin/TEC) – required (minimal).

Enterococci

- Aminopenicillins (AMP) (ampicillin/AMP and/or amoxicillin/AMX) recommended.
- Glycopeptides (GLY) (vancomycin/VAN, teicoplanin/TEC) required (minimal).

Enterobacterales (Escherichia coli, Klebsiella spp., Enterobacter spp., Proteus spp., Citrobacter spp., Serratia spp., Morganella spp.).

- Amoxicillin-clavulanic acid (AMC) recommended.
- Third-generation cephalosporins (C3G) (cefotaxime/CTX, ceftriaxone/CRO, ceftazidime/CAZ) required (minimal).
- Carbapenems (CAR) (imipenem/IPM, meropenem/MEM, doripenem/DOR) required (minimal).
- Ceftazidime-avibactam (CZA) recommended.

P. aeruginosa

- Piperacillin-tazobactam (TZP) recommended.
- Ceftazidime (CAZ) recommended.
- Carbapenems (CAR) (imipenem/IPM, meropenem/MEM, doripenem/DOR) required (minimal).
- Colistin (COL) recommended.

Acinetobacter spp.

- Sulbactam (SUL) recommended.
- Ceftazidime (CAZ) recommended.
- Carbapenems (CAR) (imipenem/IPM, meropenem/MEM, doripenem/DOR) required (minimal).
- Colistin (COL) recommended.

SIR: Final interpretation result of all different susceptibility tests performed. If antibiotic code is _NOTEST, SIR=NA.S = Susceptible, standard dose regimen; I = Susceptible, increased exposure; R = Resistant; UNK = Unknown; NA = Not applicable. Required.

PDR: Pandrug-resistant microorganism [18].

- N = Not PDR: susceptible to at least one antimicrobial agent tested.
- P = Possible PDR: resistant to all antimicrobial agents tested in the hospital.
- C = Confirmed PDR: resistant to all agents in all antimicrobial categories, confirmed by a reference or other clinical microbiology laboratory testing a supplemental panel of antimicrobial agents beyond those routinely tested, in accordance with the definitions by microorganism published in reference [18].
- UNK=Unknown.



European Surveillance of ICU-acquired infections Form INFa. HAI and AMR data (Standard option)

Patient Counter							
ICU-acquired infections							
	HAI 1		HAI 2		HAI 3		
Case definition code							
Relevant device in	O Yes O	No	O Yes O	No	O Yes O	No	
situ before onset*	O Unknov	vn	O Unknov	vn	O Unknov	vn	
Date of onset	//		//		//		
Vasopressor treatment	O Yes O	No	OYes ONo		OYes ONo		
vasopressor treatment	O Unknov	vn	O Unknown		O Unknov	vn	
BSI: source of BSI**							
	MO-code	PDR	MO-code	PDR	MO-code	PDR	
Micro-organism 1							
Micro-organism 2							
Micro-organism 3							

*relevant device use (intubation for PN, CVC for BSI, urinary catheter for UTI) in 48 hours before onset of infection (even intermittent use), 7 days for UTI; ** C-CVC, C-PVC, C-ART, S-PUL, S-UTI, S-DIG, S-SSI, S-SST, S-OTH, UO, UNK; MO-code: microorganism code; PDR: pandrug-resistant: Not PDR = N (susceptible to at least 1 antimicrobial), Possible PDR = P (R to all antimicrobials tested in hospital), Confirmed PDR = C (R to all antimicrobials confirmed by reflab), U=Unknown)

Patient ICU outcome (3-CAT): O discharged alive O death, HAI definitely contributed to death O death, HAI possibly contributed to death O death, no relation to HAI O death, relationship to HAI unknown Patient ICU outcome (WHO-CAT): O discharged alive O death, no contribution of HAI O death, HAI contributory cause O death, HAI part of causal sequence O death, HAI sole cause

O death, relationship to HAI unknown or not verified

Target antimicrobial resi	Target antimicrobial resistance data in ICU-acquired infections									
HAI1:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL		CAZ		CAR		COL		
SIR: S,I,R or U; PDR: N,P,C or U	J									
HAI2:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL		CAZ		CAR		COL		
SIR: S,I,R or U; PDR: N,P,C or U	J									
HAI3:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL	_	CAZ		CAR		COL		

Bold=minimal resistance data; SIR: S susceptible, standard dosing regimen; I susceptible, increased exposure; R resistant, U unknown

Antibiotic codes: AMC: amoxicillin/clavulanic acid, AMP: ampicillin, C3G: third-generation cephalosporins (cefotaxime/ceftraxone/ceftazidime),

CAR: carbapenems (imipenem/meropenem/doripenem), CAZ: ceftazidime, COL: colistin,

CZA: ceftazidime/avibactam, GLY: glycopeptides (vancomycin, teicoplanin), OXA: oxacillin, SUL: Sulbactam;

TZP: piperacillin-tazobactam; PDR: pandrug resistance: N=not PDR, P=possible, C=confirmed, U=unknown



European Surveillance of ICU-acquired infections Form INFb. HAI and AMR form (Light option)

Patient Counter:

Date of admission in ICU:	
Date of ICU discharge:	

___ / ___ / ____ ___ / ___ / ____

Age in years: ____ yrs Gender: M F UNK

 Patient ICU outcome (3-CAT):
 O discharged alive
 O death, HAI definitely contributed to death

 O death, HAI possibly contributed to death
 O death, no relation to HAI
 O death, relationship to HAI unknown

 Patient ICU outcome (WHO-CAT):
 O discharged alive
 O death, no contribution of HAI

 O death, HAI contributory cause
 O death, HAI part of causal sequence
 O death, HAI sole cause

 O death, relationship to HAI unknown or not verified
 O death, relationship to HAI unknown or not verified

 ICU-acquired infections

	HAI 1		HAI 2		HAI 3		
Case definition code							
Relevant device in	O Yes O	No	O Yes O	No	O Yes O No		
situ before onset*	O Unknov	vn	O Unknov	wn	O Unknov	wn	
Date of onset	//		//_		//		
Vasopressor treatment	OYes ONo OUnknown		OYes ONo OUnknown		OYes ONo OUnknown		
BSI: source of BSI**							
	MO-code	PDR	MO-code	PDR	MO-code	PDR	
Micro-organism 1							
Micro-organism 2							
Micro-organism 3							

*relevant device use (intubation for PN, CVC for BSI, urinary catheter for UTI) in 48 hours before onset of infection (even intermittent use), 7 days for UTI; ** C-CVC, C-PVC, C-ART, S-PUL, S-UTI, S-DIG, S-SSI, S-SST, S-OTH, UO, UNK; MO-code: microorganism code; PDR: pandrug-resistant: Not PDR =N (susceptible to at least 1 antimicrobial), Possible PDR = P (R to all antimicrobials tested in hospital), Confirmed PDR = C (R to all antimicrobials confirmed by reflab), U=Unknown)

Target antimicrobial resi	stance data in	ICU-ac	quired i	nfectio	ns					
HAI1:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL		CAZ		CAR		COL		
SIR: S,I,R or U; PDR: N,P,C or U	J									
HAI2:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL		CAZ		CAR		COL		
SIR: S,I,R or U; PDR: N,P,C or U	J									
HAI3:	MO-Code	AB1	SIR1	AB2	SIR2	AB3	SIR3	AB4	SIR4	PDR
Staphylococcus aureus		ΟΧΑ		GLY						
Enterococcus spp.		AMP		GLY						
Enterobacterales		AMC		C3G		CAR		CZA		
		AMC		C3G		CAR		CZA		
P.aeruginosa		TZP		CAZ		CAR		COL		
Acinetobacter spp.		SUL		CAZ		CAR		COL		

Bold=minimal resistance data; SIR: S susceptible, standard dosing regimen; I susceptible, increased exposure; R resistant, U unknown

Antibiotic codes: AMC: amoxicillin/clavulanic acid, AMP: ampicillin, C3G: third-generation cephalosporins (cefotaxime/ceftriaxone/ceftazidime),

CAR: carbapenems (imipenem/meropenem/doripenem), CAZ: ceftazidime, COL: colistin, CZA: ceftazidime/avibactam,

GLY: glycopeptides (vancomycin, teicoplanin), OXA: oxacillin, SUL: Sulbactam; TZP: piperacillin-tazobactam;

PDR: pandrug resistance: N=not PDR, P=possible, C=confirmed, U=unknown

8. Outcome indicators of ICU-acquired infections

Unit-based (light) surveillance represents the minimal dataset to be collected and is intended for continuous surveillance. The denominator is collected at the unit level and consists of the number of patient-days for patients staying longer than two days in the ICU (unit-based surveillance).

Unit indicators are intended for the follow-up of indicators within the same unit and for regional, national and international follow-up of infection trends and possibly for pathogen-specific infection rates, such as incidence density by type of ICU or by percentage of intubated patients in the ICU (proxy for case-mix severity). They offer limited inter-unit comparability but only when stratified by type of ICU or by the case-mix severity, approximated by the percentage of intubated patients.

Patient-based (standard option) surveillance is intended for advanced risk-adjusted comparisons of infection rates between ICUs, such as the device-associated infection rate and the standardised infection ratio, as a measure of quality of care in terms of infection control. Risk factors are collected for every patient staying more than two days in the ICU, whether infected or not. To obtain sufficient precision of indicators for a single ICU, a surveillance period of three to six months is recommended, depending on the size of the ICU.

The list of HAI outcome indicators (comparing standard vs. light surveillance options) can be found in Annex 6.

9. Confidentiality

9.1 Patient confidentiality

It will not be possible to identify individual ICU patients with or without a HAI in the European database through coding of patient information at the hospital level or at the level of the official networks in the countries. However, for validation purposes, the hospitals should be able to trace back patients based on anonymous unique patient numbers.

9.2 Hospital and unit confidentiality

A unique code is assigned to each hospital (unit) by the national surveillance system. This unique code will be used for correspondence and feedback. The key, which links each hospital (unit) to the code submitted to ECDC remains strictly within the national surveillance system to guarantee confidentiality. It is not to be transmitted to any other organisation under any circumstance.

9.3 Publication policy

Data will be published in ECDC's online infectious disease surveillance summaries (replacing the previously published Annual Epidemiological Reports), in disease-specific reports on HAI surveillance and as scientific publications. If requested by a national surveillance network, publications must acknowledge the data source (i.e. the national surveillance networks) and provide contact information.

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Annex 1. Microorganisms code list

The microorganism code list is a selection of microorganisms based on their frequency of occurrence in HAIs and/or on their public health importance. The minimal list represents the minimal level of detail that should be provided by every network.

Microorganism selection and minimal list

	Microorganism	Code	Minimal list
	Staphylococcus aureus	STAAUR	STAAUR
	Staphylococcus epidermidis	STAEPI	STACNS
	Staphylococcus haemolyticus	STAHAE	
	Coag-neg. staphylococci, not specified	STACNS	
	Other coagulase-negative staphylococci (CNS)	STAOTH	
	Staphylococcus spp., not specified	STANSP	GPCTOT
	Streptococcus pneumoniae	STRPNE	STRSPP
	Streptococcus agalactiae (B)	STRAGA	
	Streptococcus pyogenes (A)	STRPYO	
am-positive cocci	Other haemol. Streptococcae (C, G)	STRHCG	
	Streptococcus spp., other	STROTH	
	Streptococcus spp., not specified	STRNSP	
	Enterococcus faecalis	ENCFAE	
	Enterococcus faecium	ENCFAI	ENCSPP
	Enterococcus spp., other	ENCOTH	
	Enterococcus spp., not specified	ENCNSP	
	Gram-positive cocci, not specified	GPCNSP	GPCTOT
	Other Gram-positive cocci	GPCOTH	
	Moraxella catarrhalis	MORCAT	GNCTOT
	Moraxella spp., other	MOROTH	
	Moraxella spp., not specified	MORNSP	
	Neisseria meningitidis	NEIMEN	
ram-negative cocci	Neisseria spp., other	NEIOTH	
	Neisseria spp., not specified	NEINSP	
	Gram-negative cocci, not specified	GNCNSP	
	Other Gram-negative cocci	GNCOTH	
	Corynebacterium spp.	CORSPP	GPBTOT
	Bacillus spp.	BACSPP	
	Lactobacillus spp.	LACSPP	
ram-positive bacilli	Listeria monocytogenes	LISMON	
	Gram-positive bacilli, not specified	GPBNSP	
	Other Gram-positive bacilli	GPBOTH	
	Citrobacter freundii	CITFRE	CITSPP
	Citrobacter koseri (e.g. diversus)	CITDIV	
nterobacterales	Citrobacter spp., other	CITOTH	
	Citrobacter spp., not specified	CITNSP	
	Enterobacter cloacae	ENBCLO	ENBSPP
	Enterobacter aerogenes – renamed to Klebsiella aerogenes*	ENBAER	
	Enterobacter agglomerans	ENBAGG	
	Enterobacter sakazakii	ENBSAK	

	Microorganism	Code	Minimal list
	Enterobacter gergoviae	ENBGER	
	Enterobacter spp., other	ENBOTH	
	Enterobacter spp., not specified	ENBNSP	
	Escherichia coli	ESCCOL	ESCCOL
	Klebsiella aerogenes	KLEAER	
	Klebsiella pneumoniae	KLEPNE	
	Klebsiella oxytoca	KLEOXY	KLESPP
	Klebsiella spp., other	KLEOTH	KLEOPP
	Klebsiella spp., not specified	KLENSP	
	Proteus mirabilis	PRTMIR	
	Proteus vulgaris	PRTVUL	DDTCDD
	Proteus spp., other	PRTOTH	PRTSPP
	Proteus spp., not specified	PRTNSP	
	Serratia marcescens	SERMAR	
	Serratia liquefaciens	SERLIQ	050000
	Serratia spp., other	SEROTH	SERSPP
	Serratia spp., not specified	SERNSP	
	Hafnia spp.	HAFSPP	
	Morganella spp.	MOGSPP	ЕТВТОТ
	Providencia spp.	PRVSPP	
	Salmonella Enteritidis	SALENT	
	Salmonella Typhi or Paratyphi	SALTYP	
	Salmonella Typhimurium	SALTYM	
	Salmonella spp., not specified	SALNSP	
	Salmonella spp., other	SALOTH	
	Shigella spp.	SHISPP	
	Yersinia spp.	YERSPP	
	Other enterobacterales	ETBOTH	
	Enterobacterales, not specified	ETBNSP	
	Acinetobacter baumannii	ACIBAU	ACISPP
	Acinetobacter calcoaceticus	ACICAL	
	Acinetobacter haemolyticus	ACIHAE	
	Acinetobacter Iwoffii	ACILWO	
	Acinetobacter spp., other	ACIOTH	
	Acinetobacter spp., not specified	ACINSP	
	Pseudomonas aeruginosa	PSEAER	PSEAER
am-negative bacilli	Stenotrophomonas maltophilia	STEMAL	STEMAL
-	Burkholderia cepacia	BURCEP	PSETOT
	Pseudomonadaceae family, other	PSEOTH	
	Pseudomonadaceae family, not specified	PSENSP	-
	Haemophilus influenzae	HAEINF	HAESPP
	Haemophilus parainfluenzae	HAEPAI	
	Haemophilus spp., other	HAEOTH	
	Haemophilus spp., not specified	HAENSP	
			1 50000
am-negative bacilli	Legionella spp.	LEGSPP	LEGSPP

	Microorganism	Code	Minimal list
	Aeromonas spp.	AEMSPP	
	Agrobacterium spp.	AGRSPP	1
	Alcaligenes spp.	ALCSPP	
	Campylobacter spp.	CAMSPP	
	Flavobacterium spp.	FLASPP	
	Gardnerella spp.	GARSPP	
	Helicobacter pylori	HELPYL	
	Pasteurella spp.	PASSPP	
	Gram-negative bacilli, not specified	GNBNSP	
	Other Gram-negative bacilli, non enterobacterales	GNBOTH	
	Bacteroides fragilis	BATFRA	BATSPP
	Bacteroides other	BATOTH	
	Bacteroides spp., not specified	BATNSP	
	Clostridioides difficile	CLODIF	
aerobes	Clostridium other	CLOOTH	
	Propionibacterium spp.	PROSPP	ANATOT
	Prevotella spp.	PRESPP	
	Anaerobes, not specified	ANANSP	
	Other anaerobes	ANAOTH	
	Mycobacterium, atypical	MYCATY	всттот
	Mycobacterium tuberculosis complex	MYCTUB	
	Chlamydia spp.	CHLSPP	
	Mycoplasma spp.	MYPSPP	
ner bacteria	Actinomyces spp.	ACTSPP	
	Nocardia spp.	NOCSPP	
	Other bacteria	встотн	
	Other bacteria, not specified	BCTNSP	
	Candida albicans	CANALB	CANSPP
	Candida auris	CANAUR	
	Candida glabrata	CANGLA	
	Candida krusei	CANKRU	
	Candida tropicalis	CANTRO	
	Candida parapsilosis	CANPAR	
	Candida spp., other	CANOTH	
	Candida spp., not specified	CANNSP	
ngi	Aspergillus fumigatus	ASPFUM	ASPSPP
	Aspergillus niger	ASPNIG	
	Aspergillus spp., other	ASPOTH	
	Aspergillus spp., not specified	ASPNSP	
	Other yeasts	YEAOTH	PARTOT
	Fungi other	FUNOTH	
	Fungi, not specified	FUNNSP	
	Filaments other	FILOTH	
	Other parasites	PAROTH	
	Adenovirus	VIRADV	VIRTOT
liruses	Cytomegalovirus (CMV)	VIRCMV	

	Microorganism	Code	Minimal list
	Enterovirus (polio, coxsackie, echo)	VIRENT	
	Hepatitis A virus	VIRHAV	
	Hepatitis B virus	VIRHBV	
	Hepatitis C virus	VIRHCV	
	Herpes simplex virus	VIRHSV	
	Human immunodeficiency virus (HIV)	VIRHIV	
	Influenza A virus	VIRINA	
	Influenza B virus	VIRINB	
	Influenza C virus	VIRINC	
	Norovirus	VIRNOR	
	Parainfluenzavirus	VIRPIV	
	Respiratory syncytial virus (RSV)	VIRRSV	
	Rhinovirus	VIRRHI	
	Rotavirus	VIRROT	
	SARS virus	VIRSAR	
	SARS-CoV-2	VIRCOV	
	Varicella-zoster virus	VIRVZV	
	Virus, not specified	VIRNSP	
	Other virus	VIROTH	
licroorganism not	identified or not found	_NONID	_NONID
Examination not do	one	_NOEXA	_NOEXA
Sterile examination	l	_STERI	_STERI
Result not (yet) ava	ilable or missing	_NA	_NA

_NONID: evidence exists that a microbiological examination has been done, but the microorganism cannot be correctly classified or the result of the examination cannot be found; _NOEXA: no diagnostic sample taken, no microbiological examination done; _STERI: a microbiological examination has been done, but the result was negative (e.g. negative culture), _NA Result not (yet) available or missing. *Klebsiella aerogenes: both KLEAER and the old code ENBAER (Enterobacter aerogenes) are accepted.
Annex 2. Extended antimicrobial resistance data for ICU-acquired infections

Networks may report extended antimicrobial resistance (AMR) data for a more detailed description of the AMR epidemiology (e.g. combined resistance). However, priority should be given to the target AMR list given above.

The allowed AMR codes (in the 'Antibiotic' field) are:

AMB = Amphotericin B	GEH = Gentamicin-High
AMC = Amoxicillin-clavulanic acid	GEN = Gentamicin
AMK = Amikacin	GLY = Glycopeptides (vancomycin, teicoplanin)
AMP = Ampicillin	IMR = Imipenem-relebactam
AMX = Amoxicillin	IPM = Imipenem
AZM = Azithromycin	ITR = Itraconazole
C1G = Cephalosporins, first-generation (cefalotin or cefazolin)	KET = Ketoconazole
C2G = Cephalosporins, second-generation (cefuroxime, cefamandole,	LNZ = Linezolid
cefoxitin)	
C3G = Cephalosporins, third-generation (cefotaxime, ceftriaxone)	LVX = Levofloxacin
C4G = Cephalosporins, fourth-generation (cefepime, cefpirome)	MEM = Meropenem
CAR = Carbapenems (imipenem, meropenem, doripenem)	MET = Meticillin
CAS = Caspofungin	MEV = Meropenem-vaborbactam
CAZ = Ceftazidime	MFX = Moxifloxacin
CIP = Ciprofloxacin	NAL = Nalidic acid
CLI = Clindamycin	NET = Netilmicin
CLO = Cloxacillin	NOR = Norfloxacin
CLR = Clarithromycin	OFX = Ofloxacin
COL = Colistin	OXA = Oxacillin
CRO = Ceftriaxone	PEN = Penicillin
CTX = Cefotaxime	PIP = Piperacillin
CZA = Ceftazidime-avibactam	PIT = Piperacillin or ticarcillin
CZT = Ceftolozane-tazobactam	PLZ = Plazomicin
DIC = Dicloxacillin	POL = Polymyxin B
DAP = Daptomycin	QDA = Quinupristin-dalfopristin
DOR = Doripenem	RIF = Rifampin
ETP = Ertapenem	SUL = Sulbactam
ERV = Eravacycline	SXT = Sulfamethoxazole-trimethoprim (cotrimoxazole)
ERY = Erythromycin	TCY = Tetracycline
ESBL = Extended beta-lactamase producing	TEC = Teicoplanin
FDC = Cefiderocol	TEM = Temocillin
FCT = Flucytosine (5-fluorocytosine)	TIG = Tigecycline
FEP = Cefepime	TOB = Tobramycin
FLC = Flucloxacillin	TZP = Piperacillin-tazobactam
FLU = Fluconazole	VAN = Vancomycin
FOS = Fosfomycin	
FOX = Cefoxitin	
FUS = Fusidic acid	

Annex 3. Healthcare-associated infections code list

HAI code	HAI label
PN1	Pneumonia, clinical + positive quantitative culture from minimally contaminated lower respiratory tract specimen
PN2	Pneumonia, clinical + positive quantitative culture from possibly contaminated lower respiratory tract specimen
PN3	Pneumonia, clinical + microbiological diagnosis by alternative microbiology methods
PN4	Pneumonia, clinical + positive sputum culture or non-quantitative culture from lower respiratory tract specimen
PN5	Pneumonia: clinical signs of pneumonia without positive microbiology
UTI-A	symptomatic urinary tract infection, microbiologically confirmed
UTI-B	symptomatic urinary tract infection, not microbiologically confirmed
BSI	Bloodstream infection (laboratory-confirmed), other than CRI3
CRI1-CVC	Local CVC-related infection (no positive blood culture)
CRI2-CVC	General CVC-related infection (no positive blood culture)
CRI3-CVC	Microbiologically confirmed CVC-related bloodstream infection
CRI1-PVC	Local PVC-related infection (no positive blood culture)
CRI2-PVC	General PVC-related infection (no positive blood culture)
CRI3-PVC	Microbiologically confirmed PVC-related bloodstream infection
Other HAI codes (o	
BJ-BONE	Osteomyelitis
BJ-JNT	Joint or bursa
BJ-DISC	Disc-space infection
CNS-IC	Intracranial infection
CNS-MEN	Meningitis or ventriculitis
CNS-SA	Spinal abscess without meningitis
CVS-VASC	Arterial or venous infection
CVS-ENDO	Endocarditis
CVS-CARD	Myocarditis or pericarditis
CVS-MED	Myocaldus of periodical
EENT-CONJ	Conjunctivitis
EENT-EYE	Eye, other than conjunctivitis
EENT-EAR	Ear mastoid
EENT-ORAL	Oral cavity (mouth, tongue, or gums)
EENT-SINU	Sinusitis
EENT-UR	Upper respiratory tract, pharyngitis, laryngitis, epiglottitis
GI-CDI	Clostridioides difficile infection
GI-GE	Gastroenteritis (excluding CDI)
GI-GIT	Gastrointestinal tract (esophagus, stomach, small and large bowel, and rectum), excluding GE, CDI
GI-HEP	
GI-IAB	Intra-abdominal infection, not specified elsewhere
-	Bronchitis, tracheobronchitis, bronchiolitis, tracheitis, without evidence of pneumonia
LRI-BRON	
LRI-LUNG REPR-EMET	Other infections of the lower respiratory tract
REPR-EIVIET	Endometritis
-	Episiotomy
REPR-VCUF	Vaginal cuff
REPR-OREP	Other infections of the male or female reproductive tract
SSI-S	Surgical site infection, superficial incisional
SSI-D	Surgical site infection, deep incisional
SSI-O	Surgical site infection, organ/space
SST-SKIN	Skin infection
SST-ST	Soft tissue (necrotizing fascitis, infectious gangrene, necrotizing cellulitis, infectious myositis, lymphadenitis, or lymphangitis)
SST-DECU	Decubitus ulcer, including both superficial and deep infections
SST-BURN	Bum
SST-BRST	Breast abscess or mastitis
SYS-DI	Disseminated infection
SYS-CSEP	Treated unidentified severe infection in adults and children
NEO-CSEP	Clinical sepsis in neonates
NEO-LCBI	Laboratory-confirmed bloodstream infection in neonates, non-CNS
NEO-CNSB	Laboratory-confirmed bloodstream infection with coagulase-negative staphylococci in neonates
NEO-PNEU	Pneumonia in neonates
NEO-NEC	Necrotising enterocolitis

Annex 4. Antimicrobial ATC codes

Antimicrobial agent: generic name	ATC 5 th level code
Amikacin	J01GB06
Amoxicillin	J01CA04
Amoxicillin and enzyme inhibitor	J01CR02
Amphotericin B (oral)	A07AA07
Amphotericin B (parenteral)	J02AA01
Ampicillin	J01CA01
Ampicillin and enzyme inhibitor	J01CR01
Ampicillin, combinations	J01CA51
Anidulafungin	J02AX06
Arbekacin	J01GB12
Aspoxicillin	J01CA19
Azanidazole	P01AB04
Azidocillin	J01CE04
Azithromycin	J01FA10
Azithromycin, fluconazole and secnidazole	J01RA07
Azlocillin	J01CA09
Aztreonam	J01DF01
Bacampicillin	J01CA06
Bacitracin	J01XX10
Bekanamycin	J01GB13
Benzathine benzylpenicillin	J01CE08
Benzathine phenoxymethylpenicillin	J01CE10
Benzylpenicillin	J01CE01
Biapenem	J01DH05
Brodimoprim	J01EA02
Carbenicillin	J01CA03
Carindacillin	J01CA05
Carumonam	J01DF02
Caspofungin	J02AX04
Cefacetrile	J01DB10
Cefaclor	J01DC04
Cefadroxil	J01DB05
Cefalexin	J01DB01
Cefaloridine	J01DB02
Cefalotin	J01DB03
Cefamandole	J01DC03
Cefapirin	J01DB08
Cefatrizine	J01DB07
Cefazedone	J01DB06
Cefazolin	J01DB04
Cefbuperazone	J01DC13
Cefcapene	J01DD17
Cefdinir	J01DD15
Cefditoren	J01DD16
Cefepime	J01DE01
Cefepime and amikacin	J01RA06
Cefetamet	J01DD10
Cefiderocol	J01DI04

Antimicrobial agent: generic name	ATC 5 th level code
Cefmenoxime	J01DD05
Cefmetazole	J01DC09
Cefminox	J01DC12
Cefodizime	J01DD09
Cefonicide	J01DC06
Cefoperazone	J01DD12
Cefoperazone, combinations	J01DD62
Ceforanide	J01DC11
Cefotaxime	J01DD01
Cefotaxime, combinations	J01DD51
Cefotetan	J01DC05
Cefotiam	J01DC07
Cefoxitin	J01DC01
Cefozopran	J01DE03
Cefpiramide	J01DD11
Cefpirome	J01DE02
Cefpodoxime	J01DD13
Cefprozil	J01DC10
Cefradine	J01DE10
Cefroxadine	J01DB11
Cefsulodin	J01DD03
Ceftaroline fosamil	J01DI02
Ceftazidime	J01DD02
Ceftazidime, combinations	J01DD52
Ceftezole	J01DB12
Ceftibuten	J01DD14
Ceftizoxime	J01DD07
Ceftobiprole medocaril	J01DI01
Ceftolozane and beta-lactamase inhibitor	J01DI54
Ceftriaxone	J01DD04
Ceftriaxone, combinations	J01DD54
Cefuroxime	J01DC02
Cefuroxime and metronidazole	J01RA03
Chloramphenicol	J01BA01
Chlortetracycline	J01AA03
Cinoxacin	J01MB06
Ciprofloxacin	J01MA02
Ciprofloxacin and metronidazole	J01RA10
Ciprofloxacin and ornidazole	J01RA12
Ciprofloxacin and tinidazole	J01RA11
Clarithromycin	J01FA09
Clindamycin	J01FF01
Clofoctol	J01XX03
Clometocillin	J01CE07
Clomocycline	J01AA11
Cloxacillin	J01CF02
Colistin (injection, infusion)	J01XB01
Colistin (oral)	A07AA10
Combinations of beta-lactamase sensitive penicillins	J01CE30
Combinations of intermediate-acting sulphonamides	J01EC20
Combinations of Intermediate-acting sulphonamides	J01EC20 J01ED20
compinations of long-acting sulphonal littles	JUTEDZO

Antimicrobial agent: generic name	ATC 5 th level code
Combinations of penicillins with extended spectrum	J01CA20
Combinations of short-acting sulphonamides	J01EB20
Combinations of tetracyclines	J01AA20
Cycloserine	J04AB01
Dalbavancin	J01XA04
Daptomycin	J01XX09
Demeclocycline	J01AA01
Dibekacin	J01GB09
Dicloxacillin	J01CF01
Dirithromycin	J01FA13
Doripenem	J01DH04
Doxycycline	J01AA02
Enoxacin	J01MA04
Epicillin	J01CA07
Fravacycline	J01AA13
Ertapenem	J01DH03
Erythromycin	J01FA01
Ethambutol	J04AK02
Ethionamide	J04AD03
Faropenem	J01DI03
Fidaxomicin	A07AA12
Fleroxacin	J01MA08
Flomoxef	J01DC14
Flucloxacillin	J01CF05
Fluconazole	
Flucytosine	J02AX01
Flumequine	J01MB07
Flurithromycin	J01FA14
Fosfomycin	J01XX01
Furazidin	J01X01
	J01XE03
Garenoxacin	J01MA19
Gatifloxacin	J01MA19 J01MA16
Gemifloxacin	J01MA15
Gentamicin	J01GB03
Grepafloxacin	J01MA11
Griseofulvin	D01BA01
Hachimycin	J02AA02
Hetacillin	J01CA18
iclaprim	J01EA03
Imipenem and cilastatin	J01DH51
imipenem, cilastatin and relebactam	J01DH56
savuconazole	J02AC05
sepamicin	J01GB11
Isoniazid	J04AC01
itraconazole	J02AC02
losamycin	J01FA07
Kanamycin	A07AA08
Kanamycin	J01GB04
Ketoconazole	J02AB02
Latamoxef	J01DD06
Levofloxacin	J01MA12

Antimicrobial agent: generic name	ATC 5 th level code
Levofloxacin, combinations with other antibacterials	J01RA05
Lincomycin	J01FF02
Linezolid	J01XX08
Lomefloxacin	J01MA07
Loracarbef	J01DC08
Lymecycline	J01AA04
Mandelic acid	J01XX06
Mecillinam	J01CA11
Meropenem	J01DH02
Meropenem and vaborbactam	J01DH52
Metacycline	J01AA05
Metampicillin	J01CA14
Methenamine	J01XX05
Meticillin	J01CF03
Metronidazole (oral, rectal)	P01AB01
Metronidazole (parenteral)	J01XD01
Metronidazole, combinations	P01AB51
Mezlocillin	J01CA10
Micafungin	J02AX05
Miconazole	J02AB01
Midecamycin	J01FA03
Minocycline	J01AA08
Miocamycin	J01FA11
Moxifloxacin	J01MA14
Nafcillin	J01CF06
Nalidixic acid	J01MB02
Natamycin	A07AA03
Nemonoxacin	J01MB08
Neomycin (injection, infusion)	J01GB05
Neomycin (oral)	A07AA01
Neomycin, combinations (oral)	A07AA51
Netilmicin	J01GB07
Nifurtoinol	J01XE02
Nimorazole	P01AB06
Nitrofurantoin	J01XE01
Nitrofurantoin, combinations	J01XE51
Nitroxoline	J01XX07
Norfloxacin	J01MA06
Norfloxacin and tinidazole	J01RA13
Nystatin	A07AA02
Ofloxacin	J01MA01
Ofloxacin and ornidazole	J01RA09
Dleandomycin	J01FA05
Dritavancin	J01XA05
Ornidazole (oral)	P01AB03
Ornidazole (oral) Ornidazole (parenteral)	J01XD03
Omidazoie (parenteral) Oxacillin	
	J01CF04
Oxolinic acid	J01MB05
Dxytetracycline	J01AA06
Dxytetracycline, combinations	J01AA56
Panipenem and betamipron Paromomycin	J01DH55 A07AA06

Antimicrobial agent: generic name	ATC 5 th level code
Pazufloxacin	J01MA18
Pefloxacin	J01MA03
Penamecillin	J01CE06
Penicillins, combinations with other antibacterials	J01RA01
Penimepicycline	J01AA10
Pheneticillin	J01CE05
Phenoxymethylpenicillin	J01CE02
Pipemidic acid	J01MB04
Piperacillin	J01CA12
Piperacillin and enzyme inhibitor	J01CR05
Piromidic acid	J01MB03
Pivampicillin	J01CA02
Pivmecillinam	J01CA08
Plazomicin	J01GB14
Polymyxin B	A07AA05
Polymyxin B	J01XB02
Posaconazole	J02AC04
Pristinamycin	J01FG01
Procaine benzylpenicillin	J01CE09
Propenidazole	P01AB05
Propicillin	J01CE03
Prulifloxacin	J01MA17
Pyrazinamide	J04AK01
Quinupristin/dalfopristin	J01FG02
Ribostamycin	J01GB10
Rifabutin	J04AB04
Rifampicin	J04AB02
Rifaximin	A07AA11
Rokitamycin	J01FA12
Rolitetracycline	J01AA09
Rosoxacin	J01MB01
Roxithromycin	J01FA06
Rufloxacin	J01MA10
Secnidazole	P01AB07
Sisomicin	J01GB08
Sitafloxacin	J01MA21
Solithromycin	J01FA16
Sparfloxacin	J01MA09
Spectinomycin	J01XX04
Spiramycin	J01FA02
Spiramycin and metronidazole	J01RA04
Streptoduocin	J01GA02
Streptomycin (oral)	A07AA04
Streptomycin (parenteral)	J01GA01
Streptomycin (parenteral)	A07AA54
Sulbactam	J01CG01
Sulbaccam	
	J01CA16
Sulfadiazine	J01EC02
Sulfadiazine and tetroxoprim	J01EE06
Sulfadiazine and trimethoprim	J01EE02
Sulfadimethoxine	J01ED01
Sulfadimidine	J01EB03

Antimicrobial agent: generic name	ATC 5 th level code
Sulfadimidine and trimethoprim	J01EE05
Sulfafurazole	J01EB05
Sulfaisodimidine	J01EB01
Sulfalene	J01ED02
Sulfamazone	J01ED09
Sulfamerazine	J01ED07
Sulfamerazine and trimethoprim	J01EE07
Sulfamethizole	J01EB02
Sulfamethoxazole	J01EC01
Sulfamethoxazole and trimethoprim	J01EE01
Sulfamethoxypyridazine	J01ED05
Sulfametomidine	J01ED03
Sulfametoxydiazine	J01ED04
Sulfametrole and trimethoprim	J01EE03
Sulfamoxole	J01EC03
Sulfamoxole and trimethoprim	J01EE04
Sulfanilamide	J01EB06
Sulfaperin	J01ED06
Sulfaphenazole	J01ED08
Sulfapyridine	J01EB04
Sulfathiazole	J01EB07
Sulfathiourea	J01EB08
Sulfonamides, combinations with other antibacterials (excl. trimethoprim)	J01RA02
Sultamicillin	J01CR04
Talampicillin	J01CA15
Tazobactam	J01CG02
Tedizolid	J01XX11
Teicoplanin	J01XA02
Telavancin	J01XA03
Telithromycin	J01FA15
Temafloxacin	J01MA05
Temocillin	J01CA17
Terbinafine	D01BA02
Tetracycline	J01AA07
Tetracycline and oleandomycin	J01RA08
Thiamphenicol	J01BA02
Thiamphenicol, combinations	J01BA52
Ticarcillin	J01CA13
Ticarcillin and enzyme inhibitor	J01CA13
Tigecycline	J01CK05 J01AA12
Tinidazole (oral, rectal)	P01AB02
Tinidazole (parenteral)	J01XD02
Tobramycin	J01XD02 J01GB01
Trimethoprim	J01GB01 J01EA01
Troleandomycin	J01FA08
	J01MA13
Vancomycin (oral)	A07AA09
Vancomycin (parenteral) Voriconazole	J01XA01 J02AC03

Diagnosis (site) code list for antimicrobial use

Diagnosis	Examples
CNS	Infections of the central nervous system
EYE	Endophthalmitis
ENT	Infections of ear, nose, throat, larynx and mouth
BRON	Acute bronchitis or exacerbations of chronic bronchitis
PNEU	Pneumonia
CF	Cystic fibrosis
CVS	Cardiovascular infections: endocarditis, vascular graft
GI	Gastrointestinal infections (e.g. salmonellosis, antibiotic-associated diarrhoea)
IA	Intra-abdominal sepsis, including hepatobiliary
SST-SSI	Surgical site infection involving skin or soft tissue but not bone
SST-O	Cellulitis, wound, deep soft tissue not involving bone, not related to surgery
BJ-SSI	Septic arthritis, osteomyelitis of surgical site
BJ-O	Septic arthritis, osteomyelitis, not related to surgery
CYS	Symptomatic lower urinary tract infection (e.g. cystitis)
PYE	Symptomatic upper urinary tract infection (e.g. pyelonephritis)
ASB	Asymptomatic bacteriuria
OBGY	Obstetric or gynaecological infections, STD in women
GUM	Prostatitis, epididymo-orchitis, STD in men
BAC	Laboratory-confirmed bacteraemia
CSEP	Clinical sepsis (suspected bloodstream infection without lab confirmation/results are not available, no blood cultures collected or negative blood culture), excluding febrile neutropenia
FN	Febrile neutropenia or other form of manifestation of infection in immunocompromised host (e.g. HIV, chemotherapy, etc.) with no clear anatomical site
SIRS	Systemic inflammatory response with no clear anatomical site
UND	Completely undefined; site with no systemic inflammation
NA	Not applicable; for antimicrobial use other than treatment

Annex 5. Risk scores definitions: SAPS II, APACHE II, Glasgow

SAPS II score

The Simplified Acute Physiology Score II (SAPS II) is one of the most frequently used tools to predict hospital mortality in ICUs and serves as a starting point for the evaluation of ICU efficiency. It includes 17 variables: 12 physiology variables and three underlying disease variables.

Variable	Definition	Comments
SAPS II	The SAPS II components should be measured 24 hours after admission to the	The total score must be computed adding
	ICU. The worst values within those 24 hours are to be recorded; each category of	
	values has a weighted value in points	-
Age	Use the patient's age (in years) at his last birthday	
Heart rate	Use the worst value in 24 hours, either low or high heart rate; if it varied from	
	cardiac arrest (11 points) to extreme tachycardia (7 points), assign 11 points	
Systolic blood pressure	Use the same method as for heart rate: e.g., if it varied from 60 mm Hg to 205	
	mm Hg, assign 13 points	
Body temperature	Use the highest temperature in degrees centigrade or Fahrenheit	
PaO ₂ /FiO ₂ ratio	If ventilated or continuous pulmonary artery pressure, use the lowest value of the	Only if the patient has been mechanically
	ratio	ventilated
Urinary output	Total urinary output in 24 hours	
Serum urea or serum urea	Use the highest value in mmol/L for serum urea, in mg/dL for serum urea nitrogen	
nitrogen level		
WBC count	Use the worst (high or low) WBC count according to the scoring sheet	
Serum potassium level	Use the worst (high or low) in mmol/L, according to the scoring sheet	
Serum sodium level	Use the worst (high or low) in mmol/L, according to the scoring sheet	
Serum bicarbonate level	Use the lowest value in mEq/L	
Bilirubin level	Use the highest value in µmol/L or mg/dL	
Glasgow Coma score*	Use the lowest value; if the patient is sedated, record the estimated Glasgow	This variable must be repeated on the
	Coma Score before sedation.	HELICS form
Type of admission	Unscheduled surgical	 Patients added to the operating room
		schedule within 24 hours of the operation
		Patient whose surgery was scheduled at
	Scheduled surgical	least 24 hours in advance
		 Patients having no surgery within one
	Medical	week of admission to ICU
AIDS	Select YES if HIV-positive with clinical complications such as Pneumocystis	
	jirovecii [previously Pneumocystis carinii] pneumonia, Kaposi's sarcoma,	
	lymphoma, tuberculosis, or toxoplasma infection	
Haematologic malignancy	Select YES, if lymphoma, acute leukaemia or multiple myeloma	
Metastatic cancer	Select YES, if proven metastasis by surgery, computed tomographic scan, or any	
	other method	

SAPS II weights

Age (in years)	< 40 ⁰	40–59 ⁷	60-69 ¹²	70-74 ¹⁵	75-79 ¹⁶	$\geq 80^{18}$
Heart rate (beats/min)	< 40 ¹¹	40-69 ²	70-119 ⁰	120-159 ⁴	≥ 160 ⁷	
Systolic BP (mm Hg)	<7013	70–99 ⁵	100-199°	≥ 200 ²		
Body temperature (°C)	< 39 ⁰	≥ 39 ³				
Only if ventilated or positive airwa	ay pressure (BPA	P/CPAP)				
- PaO ₂ (mmHg)/FiO ₂ ratio	< 100 ¹¹	100-199 ⁹	≥ 200 ⁶	e.g. 70 mmHg	/0.5 = 140	
– PaO ₂ (Kpa)/FiO ₂ ratio	(< 13.3)	(13.2-26.4)	(≥ 26.5)	10 Kpa/0.5 =	20	
Urinary output (ml/day)	< 500 ¹²	500-999 ⁴	≥ 1000 ⁰			
Serum urea (mg/dl)	< 600	< 60-179 ⁶	≥ 180 ¹⁰			
(mmol/L)	(< 10.0)	(10.0-29.9)	(≥ 30.0)			
WBC count (10 ³ /mm ³)	< 1.0 ¹²	$1.0 - 19.9^{\circ}$	≥ 20.0 ³			
Serum potassium (mEq/L)	< 3.0 ³	3.0-4.9 ⁰	≥ 5.0 ³			
Serum sodium (mEq/L)	< 125 ⁵	125–144 ⁰	$\geq 145^{1}$			
Bicarbonate (mEq/L)	< 15 ⁶	15-20 ³	≥ 20 ⁰			
Bilirubin (mg/dl)	< 4.0 ⁰	< 4.0–5.9 ⁴	≥ 6.0 ⁹			
(µmol/L)	(<68.4)	(68.4-102.5)	(≥ 102.6)			
Glasgow coma score	< 6 ²⁶	6-813	9–107	11-13 ⁵	14-15 ⁰	
(if patient is sedated, estimate						
status before sedation)						
Chronic diseases	metastatic cancer9		haematol. mali	haematol. malignancy ¹⁰		
Type of admission	medical ⁶		scheduled surgical ⁰		unscheduled surgical ⁸	

^{III} Le Gall JR, Lemeshow S, Saulnier F. A new simplified acute physiology score (SAPS II) based on a European/North American Multicenter Study. JAMA 1993; 270:2957–2963.

APACHE II score^{iv}

The APACHE II severity of disease classification system

Physiologic variable	High abnormal range				Low abnormal range				
	+ 4	+ 3	+ 2	+ 1	0	+ 1	+ 2	+ 3	+ 4
TEMPERATURE – rectal (C°)	≥ 41°	39°-40.9°		38.5°-38.9°	36°-38.4°	34°-35.9°	323°-33.9°	30°-31.9°	≤ 29.9°
MEAN ARTERIAL PRESSURE -	≥ 160	130-159	110-129		70-109		50-69		≤ 49
mm Hg									
HEART RATE	≥ 180	140 - 179	110-139		70-109		55 - 69	40-54	≤ 39
(ventricular response)									
RESPIRATORY RATE - (non-	≥ 50	35-49		25-34	12-24	10-11	6–9		≤ 5
ventilated or ventilated)									
OXYGENATION: A aDO2 or PaO2	≥ 500	350-499	200-349		<200				
(mm Hg)					O PO ₂ > 70	O PO2 61-70		O PO2 55-60	O PO ₂ < 55
a. FIO ₂ \geq 0.5 record a A aDO ₂									
b. FIO ₂ < 0.5 record only PaO ₂									
ARTERIAL pH	≥ 7.7	7.6-7.69		7.5-7.59	7.33-7.49		7.25-7.32	7.15-7.24	< 7.15
SERUM SODIUM (mMol/L)	≥ 180	160-179	155-159	150-154	130-149		120-129	111-119	≤ 110
SERUM POTASIUM (mMol/L)	≥ 7	6-6.9		5.9-5.9	3.5-5.4	3-3.4	2.5-2.9		< 2.5
SERUM CREATININE	≥ 3.5	2-3.4	1.5-1.9		0.6-1.4		< 0.6		
(mg/100ml)									
(Double point score for acute									
renal failure)									
HEMATOCRIT (%)	≥ 60		50-59.9	46-49.9	30-45.9		20-29.9		< 20
WHITE BLOOD COUNT	≥ 40		20-39.9	15-19.9	3-14.9		1-2.9		< 1
(total/mm3)									
(in 1.000s)									
GLASGOW COMA SCORE (GCS)									
Score = 15 minus actual GCS									
A Total ACUTE PSYSIOLOGIC									
SCORE (APS) Sum of the 12									
individual variable points									
Serum HCO2 (venous mMol/L)	≥ 52	41-51.9		32-40.9	22-31.9		18-21.9	15-17.9	< 15
(Not preferred, use if no ABGs)									

AGE POINTS

Assign points to age as follows:

Points
0
2
3
5
6

CHRONIC HEALTH POINTS

If the patient has a history of severe organ system insufficiency or is immunocompromised, assign points as follows:

- for nonoperative or emergency postoperative patients 5 points
- for elective postoperative patients 2 points

DEFINITIONS

Organ insufficiency or immunocompromised state must have been evident prior to hospital admission and conform to the following criteria:

- LIVER: Biopsy proven cirrhosis and documented portal hypertension, episodes of past upper GI bleeding attributed to portal hypertension or prior episodes of hepatic failure/encephalopathy/coma
- CARDIOVASCULAR: New York Heart Association Class IV
- RESPIRATORY: Chronic restrictive, obstructive or vascular disease resulting in severe exercise restriction, i.e. unable to climb stairs or perform household duties; or documented chronic hypoxia, hypercapnia, secondary polycythaemia, severe pulmonary hypertension (> 40mmHg); or respirator dependency
- RENAL: Receiving chronic dialysis
- IMMUNOCOMPROMISED: The patient has received therapy that suppresses resistance to infection, e.g. immunosuppression, chemotherapy, radiation, long-term or recent high dose steroids, or has a disease that is sufficiently advanced to suppress resistance to infection, e.g. leukaemia, lymphoma, AIDS.

^{iv} From Knaus WA, Draper EA, Wagner DP, Zimmerman JE. APACHE II: A severity of disease classification system. Critical Care Medicine 1985;13(10):818–29.



Glasgow Coma Score^v

Score Glasgow = Y + V + M

Best Eye Response	Best Verbal Response	Best Motor Response
(Y)	(V)	(M)
1. No eye opening	1. No verbal response	1. No motor response
2. Eye opening to pain	2. Incomprehensible sounds	2. Extension to pain
Eye opening to verbal command	Inappropriate words	3. Flexion to pain
4. Eyes open spontaneously	4. Confused	4. Withdrawal from pain
	5. Orientated	5. Localising pain
		6. Obeys commands

Please note that, for example, the phrase 'GCS of 11' is essentially meaningless. It is important to relate the complete formula, e.g. Y3 V3 M5 = GCS 11. A Glasgow Coma Score of 13 or higher correlates with a mild brain injury; 9 to 12 is a moderate injury; and 8 or less, a severe brain injury.

Glasgow Paediatric Coma Scorevi

The Paediatric GCS is scored between 3 and 15, with 3 being the worst and 15 the best. It is composed of three parameters: Best Eye Response, Best Verbal Response and Best Motor Response:

- Best Eye Response (4)
 - No eye opening
 - Eye opening to pain
 - Eye opening to verbal command
 - Eyes open spontaneously
- Best Verbal Response (5)
 - No vocal response
 - Inconsolable, agitated
 - Inconsistently consolable, moaning
 - Cries but is consolable, inappropriate interactions
 - Smiles, oriented to sounds, follows objects, interacts
- Best Motor Response (6)
 - No motor response
 - Extension to pain
 - Flexion to pain
 - Withdrawal from pain
 - Localising pain
 - Obeys commands

Please note that, for example, the phrase 'GCS of 11' is essentially meaningless. It is important to relate the complete formula, such as E3 V3 M5 = GCS 11. A Glasgow Paediatric Coma Score of 13 or higher correlates with a mild brain injury; 9 to 12 is a moderate injury; and 8 or less, a severe brain injury.

^v Teasdale G, Jennett B. Assessment of coma and impaired consciousness. A practical scale. Lancet 1974;13(2)7872:81-4.

vi http://www.trauma.org/scores/gpcs.html

Other scoring systems

The list of other scoring systems may be adapted in the future as a function of new scientific developments. Currently the HelicsWin.Net software and the TESSy metadata allow for following scores, to be measured on ICU admission or within the first 24 hours:

- APACHE = Acute Physiology and Chronic Health Evaluation score: APACHE II, APACHE III, APACHE IV
- ASA = Physical Status Classification System of the American Society of Anesthesiology
- MCCABE = McCabe score
- MPM = Mortality Prediction Model: MPM II, MPM III
- SAPS = Simplified Acute Physiology Score: SAPS II (separate variable, standard score for adult patients), SAPS 3
- Paediatric scores:
 - PIM: Paediatric Index of Mortality: PIM, PIM II
 - PRISM: Paediatric Risk of Mortality score: PRISM, PRISM III, PRISM IV
- Neonatal scores:
 - CRIB = Clinical Risk Index for Babies: CRIB, CRIB II
 - SNAP = Score for Neonatal Acute Physiology
- Predicted mortality (PDEATH): 0-100 (%), any severity score converted in its predicted mortality on ICU admission (or first 24 hours)

References and calculators for severity scores can be found at Société Française d'Anesthésie et de Réanimation (SFAR). Scoring systems for ICU and surgical patients. Available from <u>http://test-app.sfar.org/welcome-the-sfar-website/scoring-systems-for-icu-and-surgical-patients/</u>.

Scores not included in this overview are e.g. APACHE III <u>http://www.ncbi.nlm.nih.gov/pubmed/1959406</u>, APACHE IV <u>http://www.ncbi.nlm.nih.gov/pubmed/16540951</u>, MPM III: <u>http://www.ncbi.nlm.nih.gov/pubmed/17255863</u> and SAPS 3 <u>http://www.ncbi.nlm.nih.gov/pubmed/16132892</u>.

Annex 6. List of HAI outcome indicators

Indicator	Definition	Unit-based (Light)	Patient-based (Standard)
Bloodstream infection (BSI)		(Light)	(otuniuuru)
Incidence density of healthcare-associated BSI in the ICU	# BSI (of all origin) >D2*1000/n of patient-days	Х	X
Pathogen-specific BSI incidence rate	# BSI (of all origin, by pathogen) >D2*1000/n of patient- days	Х	Х
Standardised BSI ratio	Observed n of patients with BSI/Expected n of patients with bloodstream infection	-	Х
Stratification of device- adjusted infection rates	Infection rates by ICU type Infection rates by risk factors	X -	X X
Pneumonia			
Incidence density of healthcare-associated pneumonia (clinical + microbiologically confirmed) in the ICU	# pneumonia (of all origin) >D2*1000/n of patient-days	Х	X
% microbiologically confirmed pneumonia	# PN with microbiological documentation by semi- quantitative (BAL,PB) or quantitative culture of endotracheal aspirate/total PN	Х	X
Pathogen-specific pneumonia incidence rate	# pneumonia (of all origin, by pathogen) >D2*1000/n of patient-days	Х	Х
Intubator-associated pneumonia rate in the ICU	# device-associated pneumonia*1000/n of intubation days	-	Х
Standardised pneumonia ratio	Observed n of patients with pneumonia/Expected n of patients with pneumonia	-	Х
Stratification of infection rates	Infection rates by ICU type Infection rates by risk factors	X -	X X
Urinary tract infection			
Incidence density of healthcare-associated UTI in the ICU	# UTI >D2*1000/n of patient-days	Х	X
Pathogen-specific UTI incidence rate	# UTI (of all origin, by pathogen) >D2*1000/n of patient- days	Х	X
Catheter-associated UTI rate in the ICU	# device-associated UTI*1000/n of urinary catheter days	-	X
Stratification of infection rates Catheter infection	Infection rates by risk factors	Х	X
Incidence density of catheter infections in the ICU Antimicrobial use in the ICU	# catheter-associated infections*1000/n of central line days (catheter-total)	-	X
Antimicrobial treatment utilisation rate	N of antibiotic treatment days/N of patient-days	-	X
Ratio documented treatment/empiric treatment	N of documented AB treatment days/N of empiric AB treatment days	-	Х
Stratified AM use	N of antibiotic treatment days/N of patient-days by risk factors	-	Х
Device use in the ICU			
Central line utilisation rate	N of central line days/N of patient-days	-	Х
Intubation utilisation rate	N of days with intubation/N of patient-days	-	Х
Urinary catheter utilisation rate	N of urinary catheter days/N of patient-days	-	Х

Annex 7. Structure and process prevention indicators: definition, rationale and references

Prevention indicators for surveillance of HAIs in intensive care units (HAI-Net ICU) were developed during meetings held on 24 October 2013 and on 19-20 February 2014 and by a HAI-Net ICU working group (Antonella Agodi, Michael Hiesmayr, Alain Lepape, Mercedes Palomar, Anne Savey, Carl Suetens). Indicators were also discussed with the Infection Section of the European Society of Intensive Care Medicine (ESICM Conference, 27-30 September 2014, Barcelona), reviewed by the ESICM Infection Section Members in October 2014 and suggestions integrated by the working group. The proposal was discussed and agreed during the HAI-Net ICU sessions of the Third Joint Meeting of the ARHAI Networks in Stockholm, 11-13 February 2015.

Hand hygiene: Consumption of alcohol-based hand rub solution

Definition

Number of litres of alcohol-based hand rub consumed during the previous year x $1\ 000$ / number of patient-days in the ICU during the previous year

Rationale

The importance of hand hygiene as a cornerstone of standard precautions for infection prevention and control has been demonstrated for more than one century. The consumption of alcohol-based hand rubs (AHR) in litres per 1 000 patient-days is regarded as a good proxy indicator of hand hygiene compliance of healthcare workers. In a review of the literature, Boyce found that in 77% of studies looking at both indicators, AHR consumption and hand hygiene compliance were correlated. Lack of correlation may occur for example when both indicators are measured for different time period(s) and correlations within the same setting/institution (repeated measurement over time) are stronger than correlations across institutions, e.g. because of inter-hospital variation in the type or concentration of used products. Inter-hospital compliance/AHR use correlations may also be more subject to bias, such as the Hawthorne effect bias of the compliance measurement (HCWs perform better when observed) on the one hand, and the use of AHR for other purposes than hand hygiene (e.g. surface disinfection) or differences in AHR volumes used per hand hygiene procedure on the other hand. Despite these limitations however, AHR consumption was found to be associated with a reduction of MRSA and HAI rates in several studies.

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ICU staffing: staff-to-patient ratio

Definition

Sum of the number of registered nurse hours and number of nursing assistant hours in the ICU over 7 days * 100 / number of patient-days in 7 days * 24 hours

Rationale

Understaffing is one of the main reasons for low quality of care due to a lack of organisation, stress, lack of time and an increase of urgent interventions and subsequent non-compliance with infection control procedures. It is one of the indicators with the strongest evidence of an association with an increased risk of HAI incidence or crosstransmission of nosocomial pathogens.

References

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Antimicrobial stewardship: re-assess antimicrobial therapy after 48-72 hours

Definition

Number of antimicrobial therapies that were started more than three days ago and were re-assessed within 72 hours after start of the antimicrobial * 100/Total number of audited antimicrobial therapies that were started more than 3 days ago

Source: medical or nurse patient file, retrospective review of 30 files patients receiving systemic antimicrobials for therapeutic reasons.

Rationale

Re-assessing antimicrobial therapy after 48 to 72 hours using serial clinical and microbiological evaluations is crucial to ensure appropriate treatment of the infection, de-escalate where possible and discontinue the therapy if infection is unlikely. Reducing the duration of antimicrobial use and using narrower spectrum antimicrobials or switching to monotherapy, when possible, limit the emergence and dissemination of drug-resistant strains and minimise antibiotic-related toxicity. Post-prescription review of an antimicrobial after 48 hours from the initial order by a physician, pharmacist or other staff member was also selected as a core indicators for hospital antimicrobial stewardship programmes by the Transatlantic Taskforce on Antimicrobial Resistance (TATFAR).

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Intubation: cuff pressure

Definition

Number of intubation days (days of patients with intubation) during which the endotracheal cuff pressure was verified and maintained between 20 and 30 cm H_2O (and documented in the patient file) at least twice per day * 100/total number of observed intubation days.

Rationale

Maintaining the endotracheal cuff pressure in the recommended range limits micro-inhalations while preserving the mucosal integrity. The recommended range for the pressure varies between studies and guidelines: $25-30 \text{ cm H}_2\text{O}$, $20-30 \text{ cm H}_2\text{O}$ or 15-22 mm Hg.

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Intubation: elevated head position

Definition

Number of days of patients with intubation during which the head of the bed was in an elevated position (semirecumbent) * 100 / total number of observed intubation days.

Rationale

Patients should not be maintained in a supine position (except in case of specific indications) to reduce microaspiration. The existing evidence mainly supports an elevated head of the bed to 30-45 degrees.

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CVC: Catheter dressing observation

Definition

Number of days of patients with a central vascular catheter during which the dressing of the CVC was not loose, damp or visibly soiled * 100/total number of observed CVC days.

Rationale

Daily clinical surveillance of CVC dressings is important to prevent CVC-related infections. SHEA recommendations state 'For non-tunnelled CVCs in adults and adolescents, change transparent dressings and perform site care with a chlorhexidine-based antiseptic every 5-7 days or more frequently if the dressing is soiled, loose, or damp; change gauze dressings every 2 days or more frequently if the dressing is soiled, loose, or damp.' An indicator of CVC maintenance was preferred over an indicator of CVC insertion because of feasibility, in particular, the number of observation opportunities is much higher for CVC maintenance (CVC days for all patients with CVC in the ICU) than for CVC insertion (only newly inserted CVCs, CVC insertion often done outside the ICU).

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